



Directionality Issues

Jack W. Baker and Shrey K. Shahi
Stanford University



Motivation

There are a number of ways in which one can define the “response spectrum” of a multicomponent ground motion

Traditionally: Sa_{GeoMean}

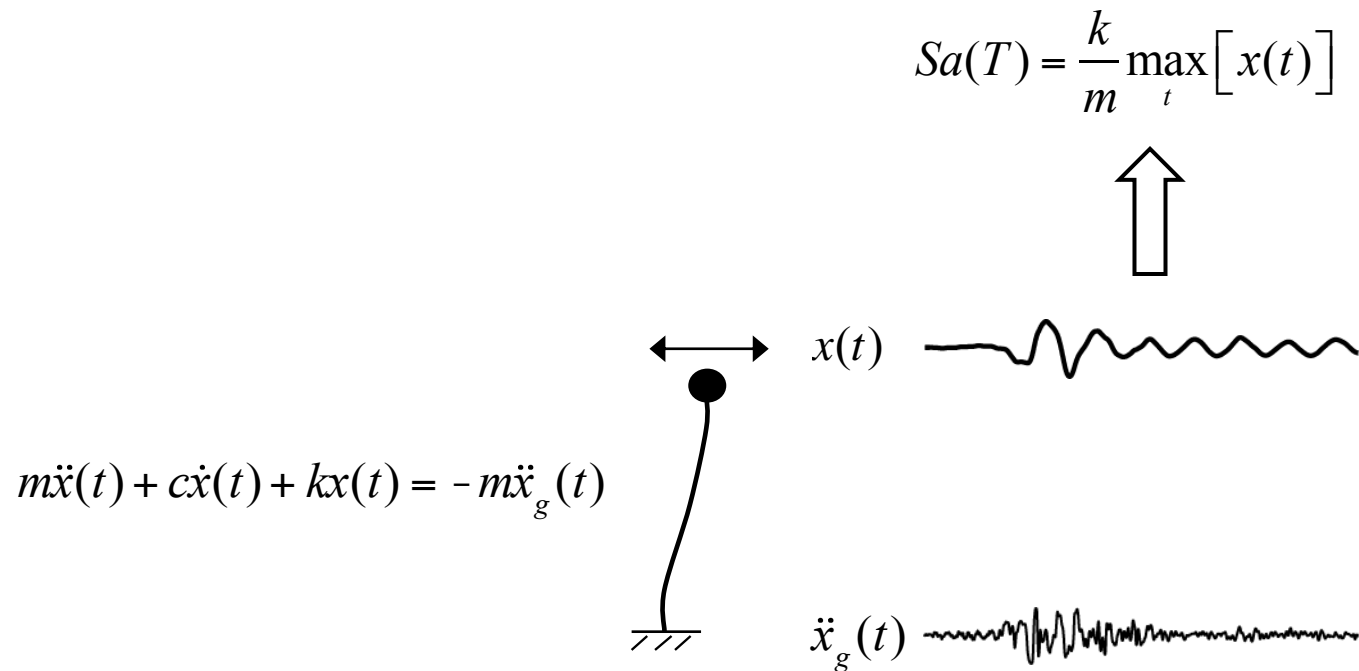
NGA-West: Sa_{GMRot150}

NGA-West2: Sa_{RotD50}

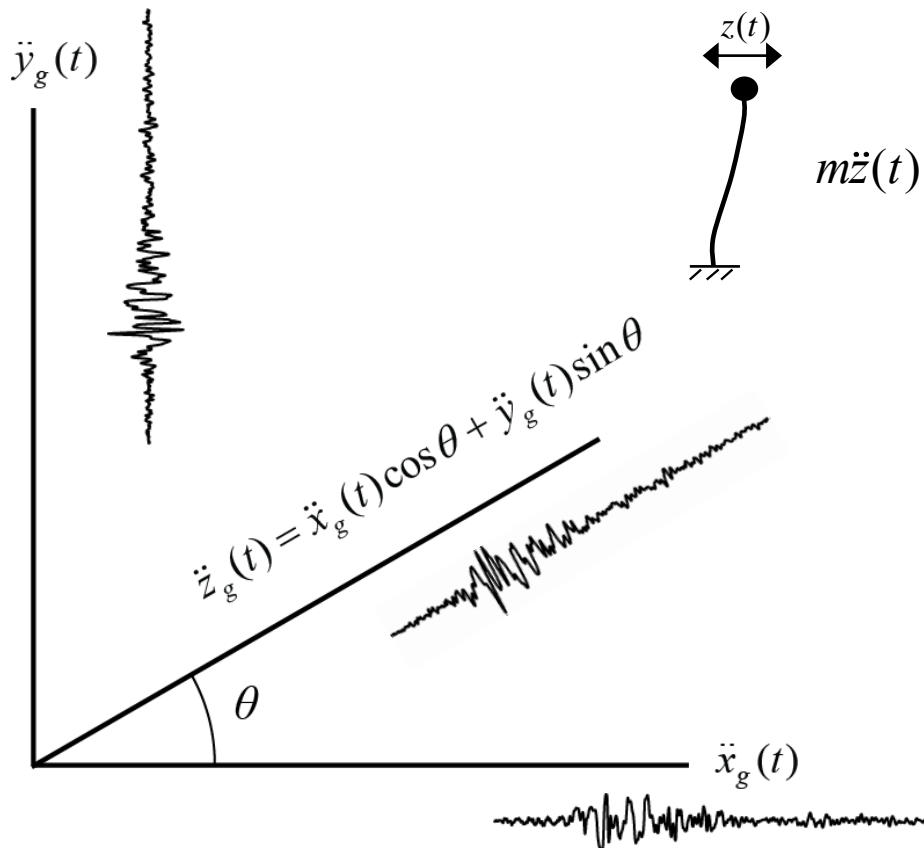
ASCE 7: Sa_{RotD100}

I will discuss results allowing one can relate these values to each other

Measuring single-orientation (pseudo) response spectra



Measuring direction-dependent response spectra



$$m\ddot{z}(t) + c\dot{z}(t) + kz(t) = -m[\ddot{x}_g(t) \cos q + \ddot{y}_g(t) \sin q]$$



$$z(t) = x(t) \cos \theta + y(t) \sin \theta$$



$$Sa(q, T) = \frac{k}{m} \max_t [x(t) \cos q + y(t) \sin q]$$

Measuring direction-dependent response spectra

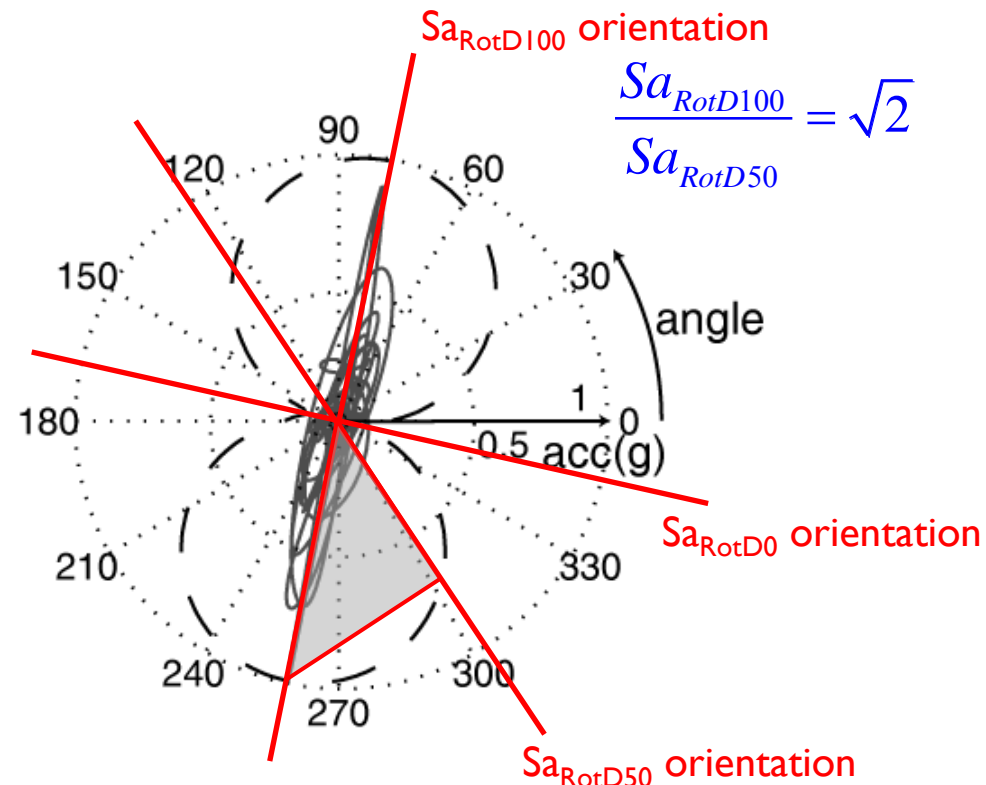
$$Sa_{GeoMean}(T) = \sqrt{Sa_x(T)^2 + Sa_y(T)^2}$$

$$Sa_{RotD100}(T) = \max_q [Sa(q, T)]$$

$$Sa_{RotD50}(T) = \text{median}_q [Sa(q, T)]$$

$$Sa_{GMRot150}(T) = \dots$$

— — $Sa(\theta, I_s) / Sa_{RotD100}(I_s)$
 ——— Displacement / Maximum displacement

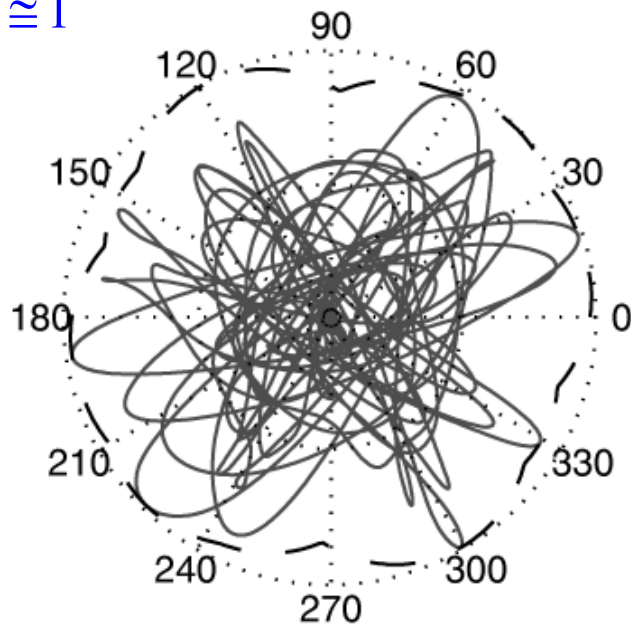


Gilroy Array #6, 1984 Morgan Hill

Example I-second oscillator responses to multi-component motions

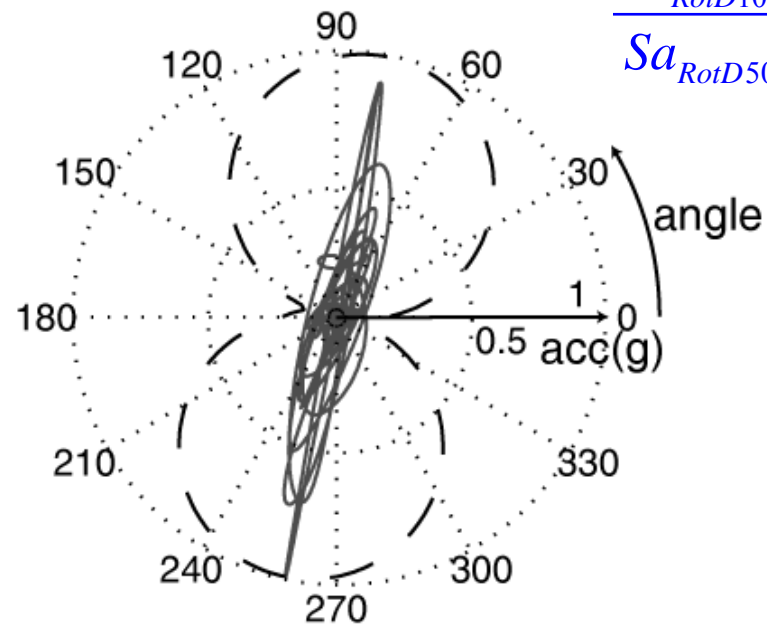
— - $Sa(\theta, 1s) / Sa_{RotD100}(1s)$
 — Displacement / Maximum displacement

$$\frac{Sa_{RotD100}}{Sa_{RotD50}} \cong 1$$



HWA031, 1999 Chi-Chi-04

$$\frac{Sa_{RotD100}}{Sa_{RotD50}} = \sqrt{2}$$



Gilroy Array #6, 1984 Morgan Hill

Ground motion model for $Sa_{RotD100}$ at a specified period

$$Sa_{RotD100} = \frac{Sa_{RotD100}}{Sa_{RotD50}} Sa_{RotD50}$$

$$\ln Sa_{RotD100} = \underbrace{\ln(Sa_{RotD100} / Sa_{RotD50})}_{\text{“Max direction factor”}} + \underbrace{\ln(Sa_{RotD50})}_{\text{Primary GMPE}}$$

$$\ln(Sa_{RotD100} / Sa_{RotD50}) = a + \eta' + \varepsilon'$$

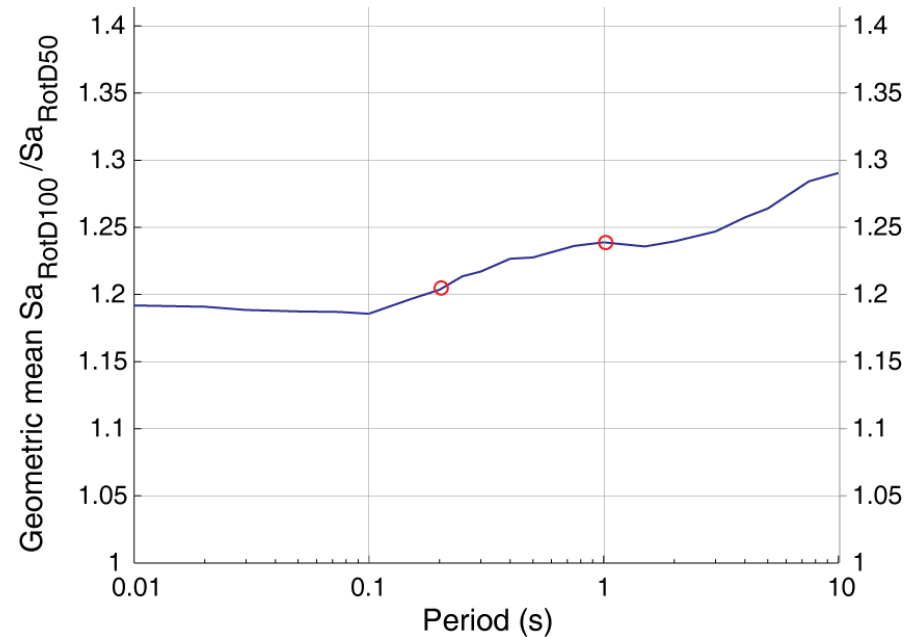
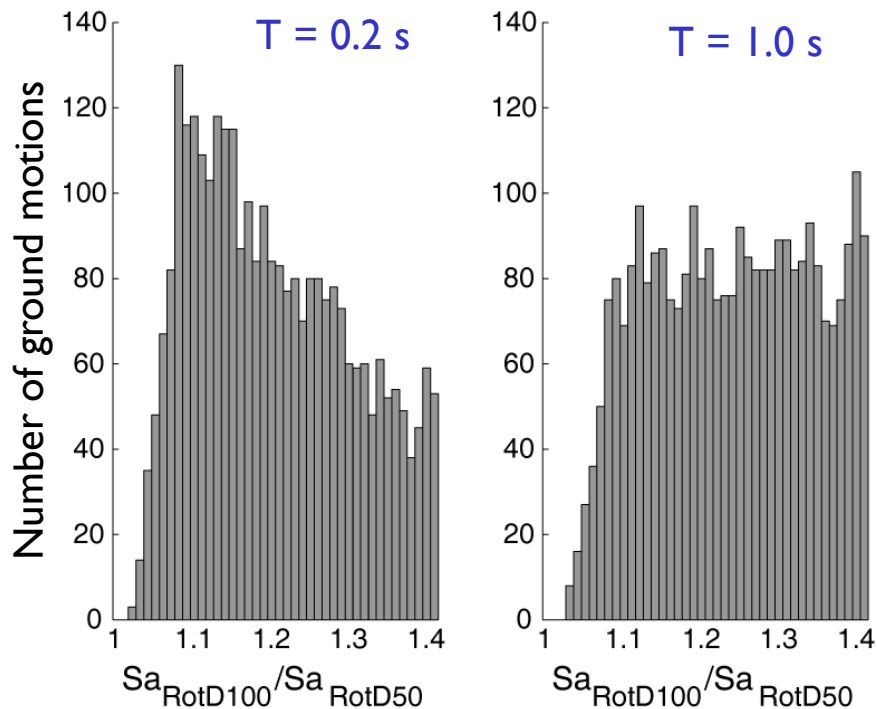
Simple prediction (constant?)

Independent of primary GMPE

$$\ln(Sa_{RotD50}) = f(M, R, V_{S30}, \dots) + \eta + \varepsilon$$

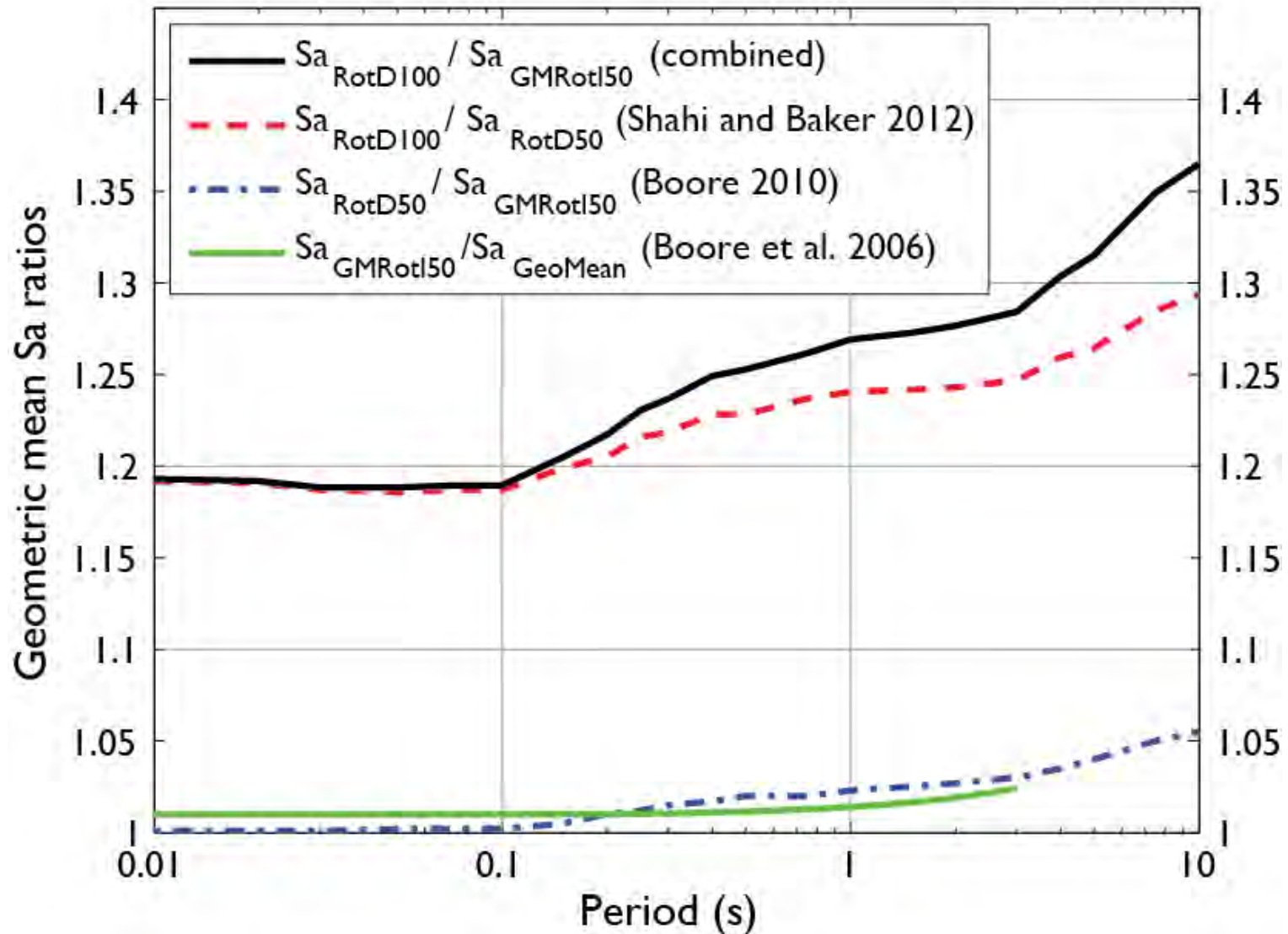
Complex prediction

Histograms of $Sa_{\text{RotD100}}/Sa_{\text{RotD50}}$

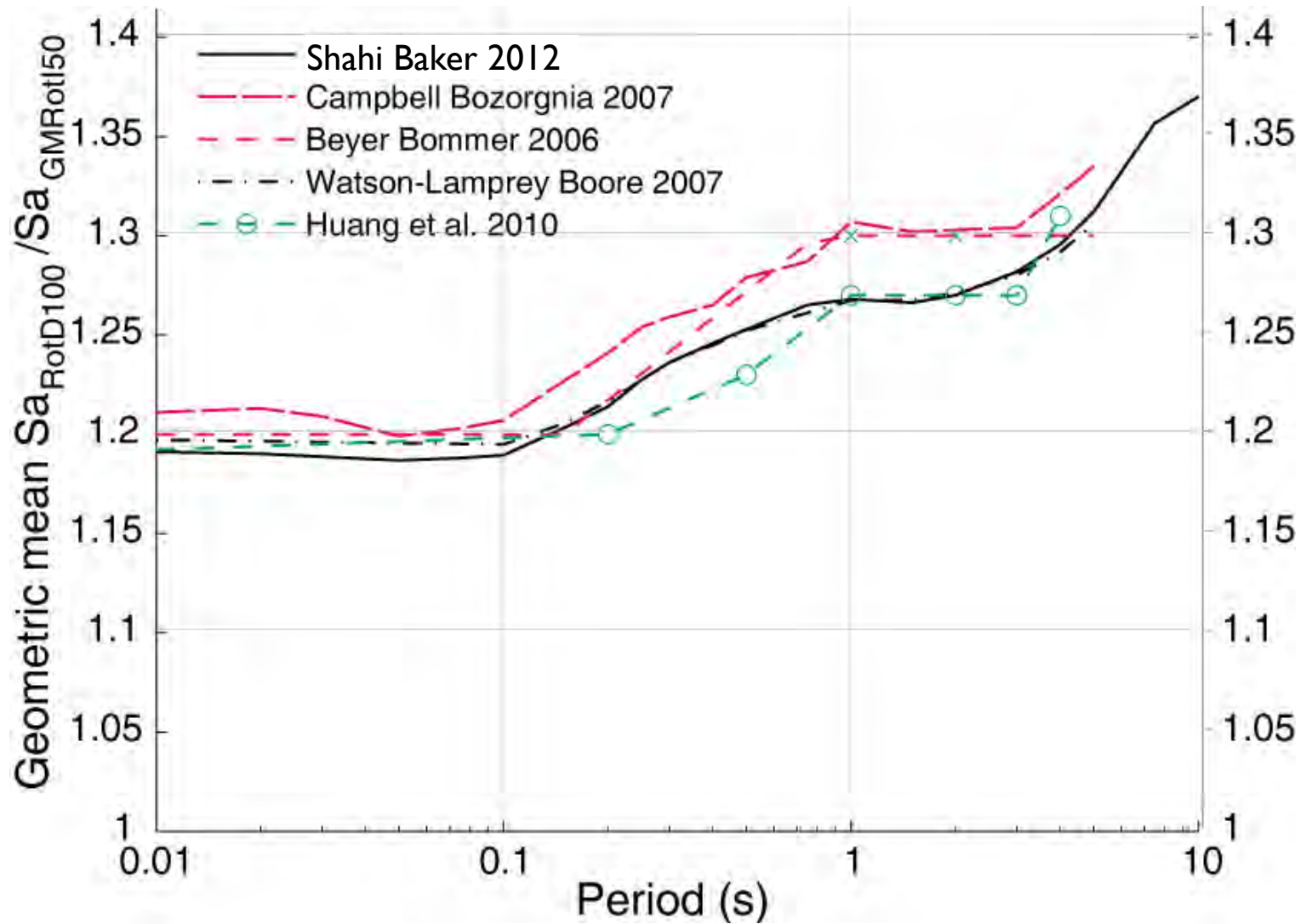


Results from subsets of the NGA -West2 data chosen by the modelers
(Abrahamson-Silva data shown here)

Impacts of switching definitions

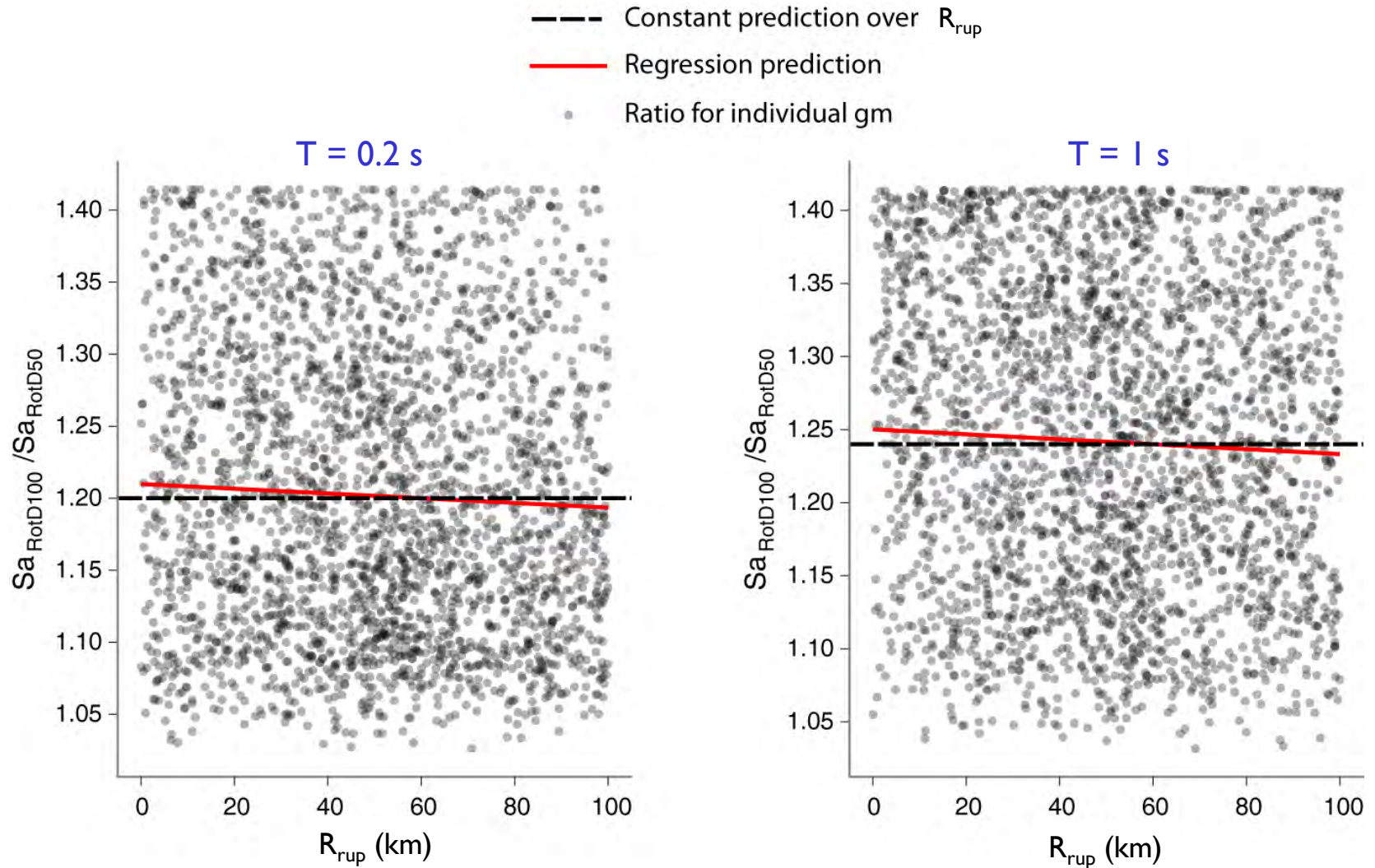


Geomean $Sa_{RotD100}/Sa_{GMRot150}$ ratios, versus previous models



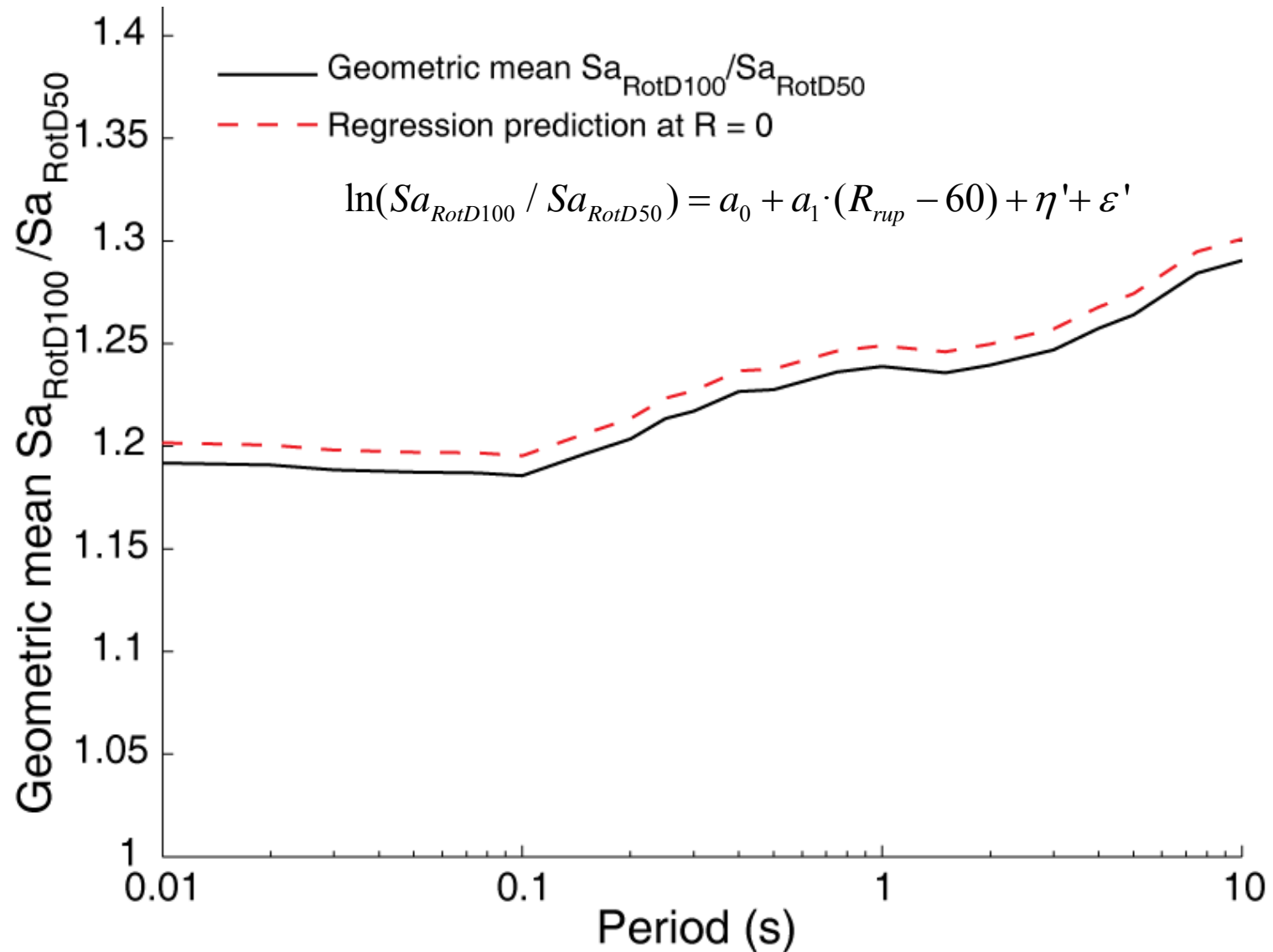
Clear period dependence. All models are consistent.

Variation in $Sa_{RotD100}/Sa_{GMRot50}$ with closest distance (R_{rup})?



Other variables (M , directivity parameters) had less strong effects

Model with R_{rup} dependence



Standard deviations (example numbers for Sa(1s))

$$\sigma' = 0.629$$

$$\sigma = 0.623$$

$$\ln Sa_{RotD100} = \underbrace{\ln(Sa_{RotD100} / Sa_{RotD50})}_{\text{This study}} + \underbrace{\ln(Sa_{RotD50})}_{\text{Primary GMPE}}$$

This study

Primary GMPE

$$\ln(Sa_{RotD100} / Sa_{RotD50}) = a + \eta' + \varepsilon'$$

$$\ln(Sa_{RotD50}) = f(M, R, V_{S30}, \dots) + \eta + \varepsilon$$

Shahi and Baker study:

$$\tau' = 0.010 \quad \phi' = 0.083$$

Campbell Bozorgnia
(2008) NGA:

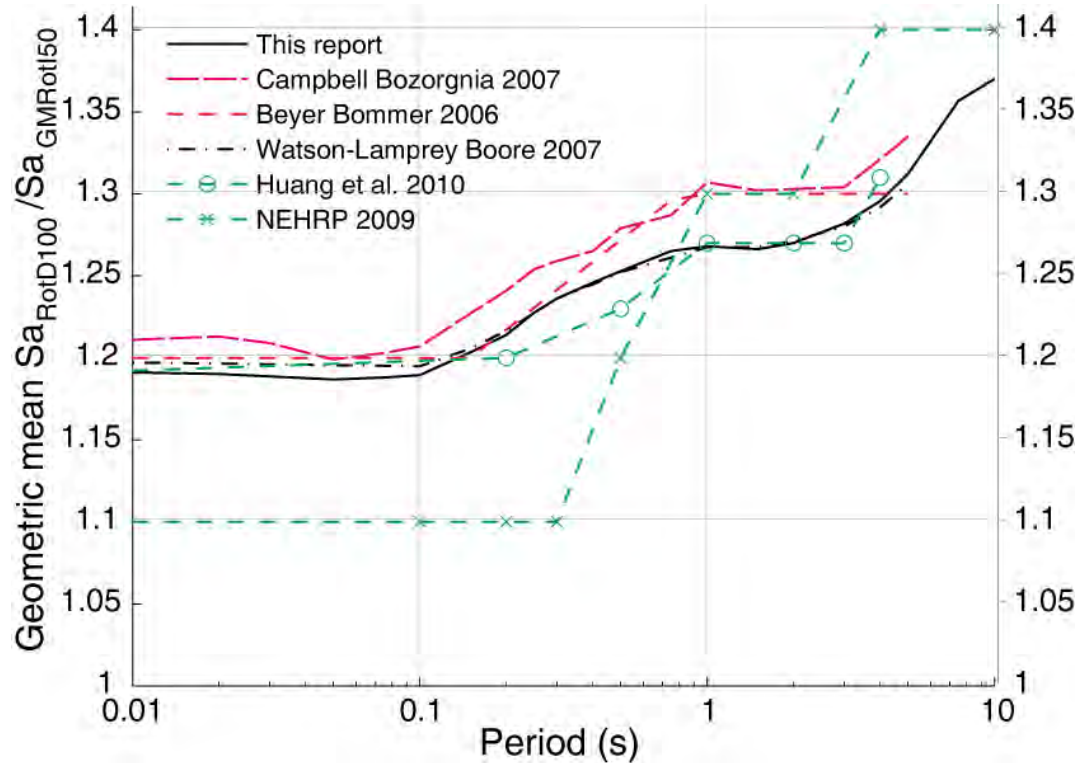
$$\tau = 0.255 \quad \phi = 0.568$$

Conclusions

- Models are available for conversion between all popular S_a definitions, including the $S_{a_{\text{RotD50}}}$ adopted by new models
- Ratios of $S_{a_{\text{RotD100}}} / S_{a_{\text{RotD50}}}$ from NGA-West2 data are consistent with previous studies
 - Dependent on period and (weakly) on distance
 - No clear dependence on other properties (magnitude, directivity-related parameters)
 - Standard deviations are small relative to standard deviations of $S_{a_{\text{RotD50}}}$
- The above properties imply that one can accurately convert from $S_{a_{\text{RotD50}}}$ to $S_{a_{\text{RotD100}}}$ after $S_{a_{\text{RotD50}}}$ hazard analysis has been performed



These ratios differ from the NEHRP Provisions ratios



NEHRP (2009):

- 0.2s \rightarrow 1.1
- 1.0s \rightarrow 1.3

Shahi and Baker (2012)

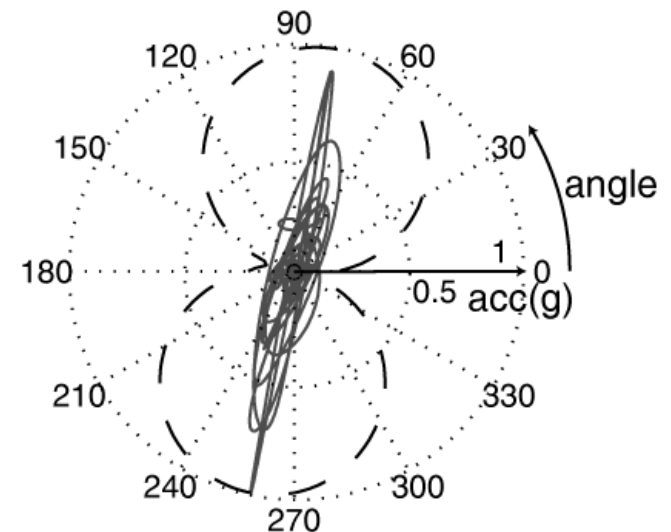
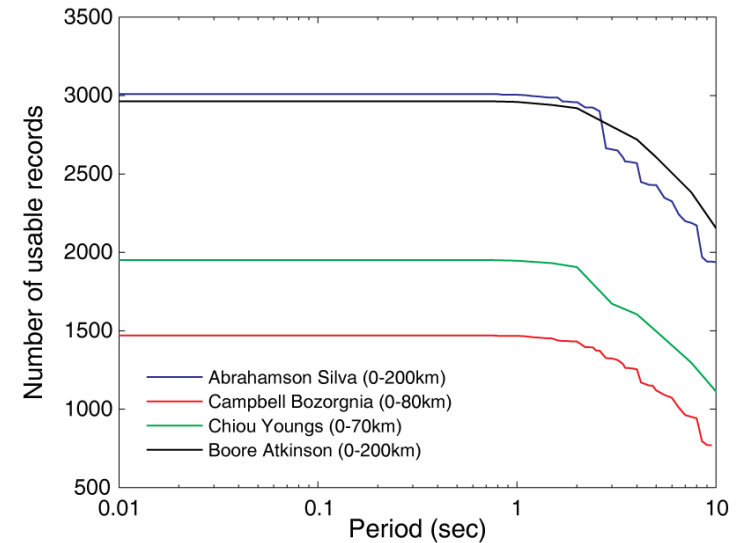
- 0.2s \rightarrow 1.2
- 1.0s \rightarrow 1.25

Differences due to

- *change of Sa definition*
- *change of estimation procedure*

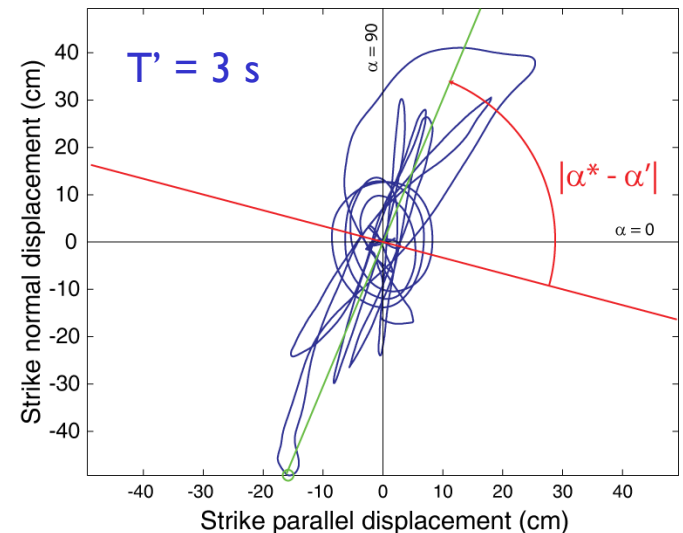
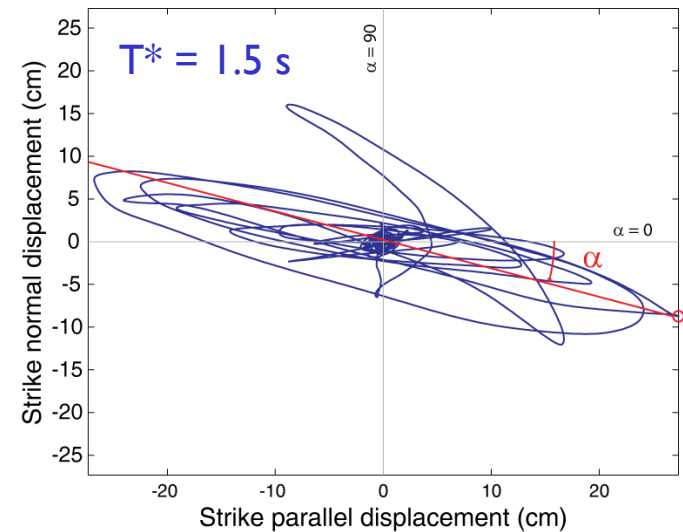
Data set

- NGA-West2 database
- We used subsets of the data chosen by the modelers (as of 11/1/2011), to ensure use of appropriate data and to be compatible with NGA West 2 models for Sa_{RotD50}
- Sa values computed for
 - 5% damping only
 - 21 periods
 - All orientations in 1° increments



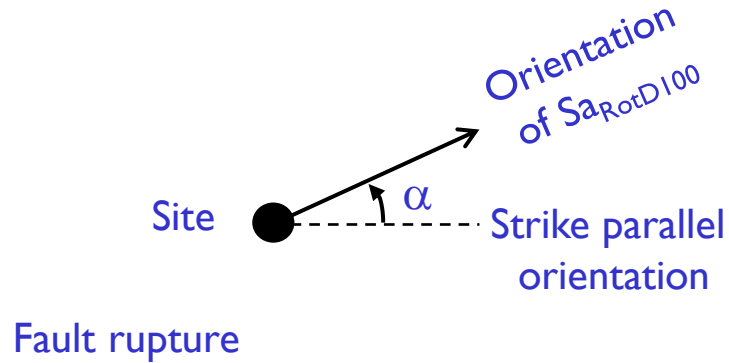
Results to discuss today

- $Sa_{RotD100} / Sa_{RotD50}$ ratios
- Orientation of $Sa_{RotD100}$ relative to strike
- Difference in orientation of $Sa_{RotD100}(T_1)$ and $Sa_{RotD100}(T_2)$
- Change in $Sa(T)$ at angles away from the $Sa_{RotD100}$ orientation
- Amplitude of $Sa(T)$ in a specified direction

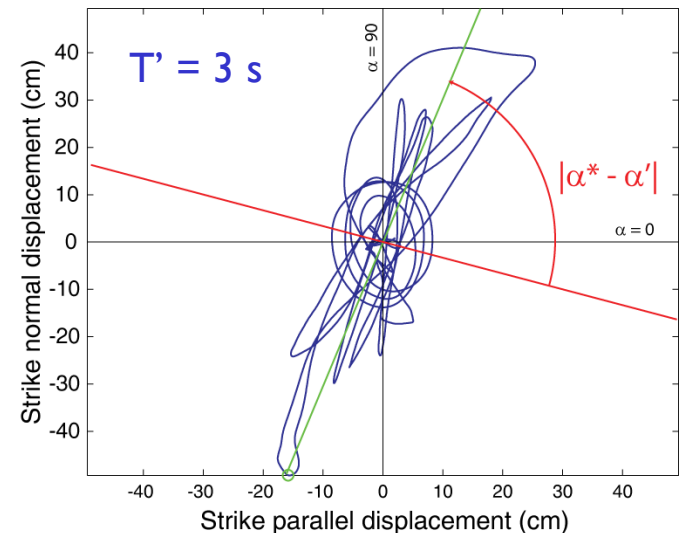
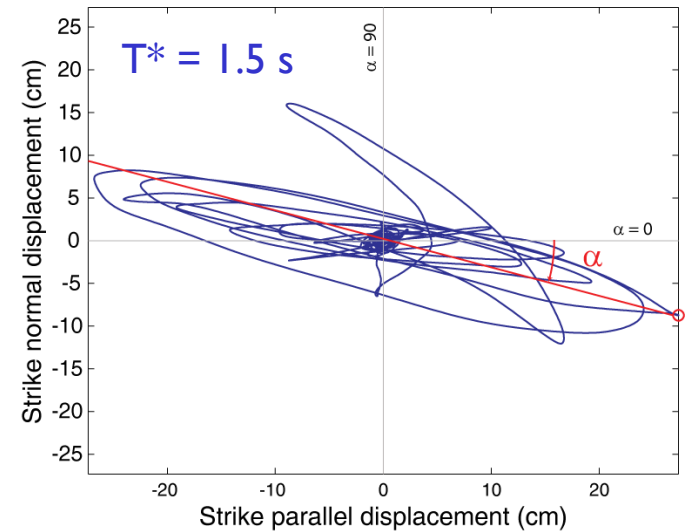


Oscillator responses to 1979 Imperial Valley-06, El Centro Differential Array recording

Orientation of $Sa_{RotDI00}$ (using α as angle to strike parallel)

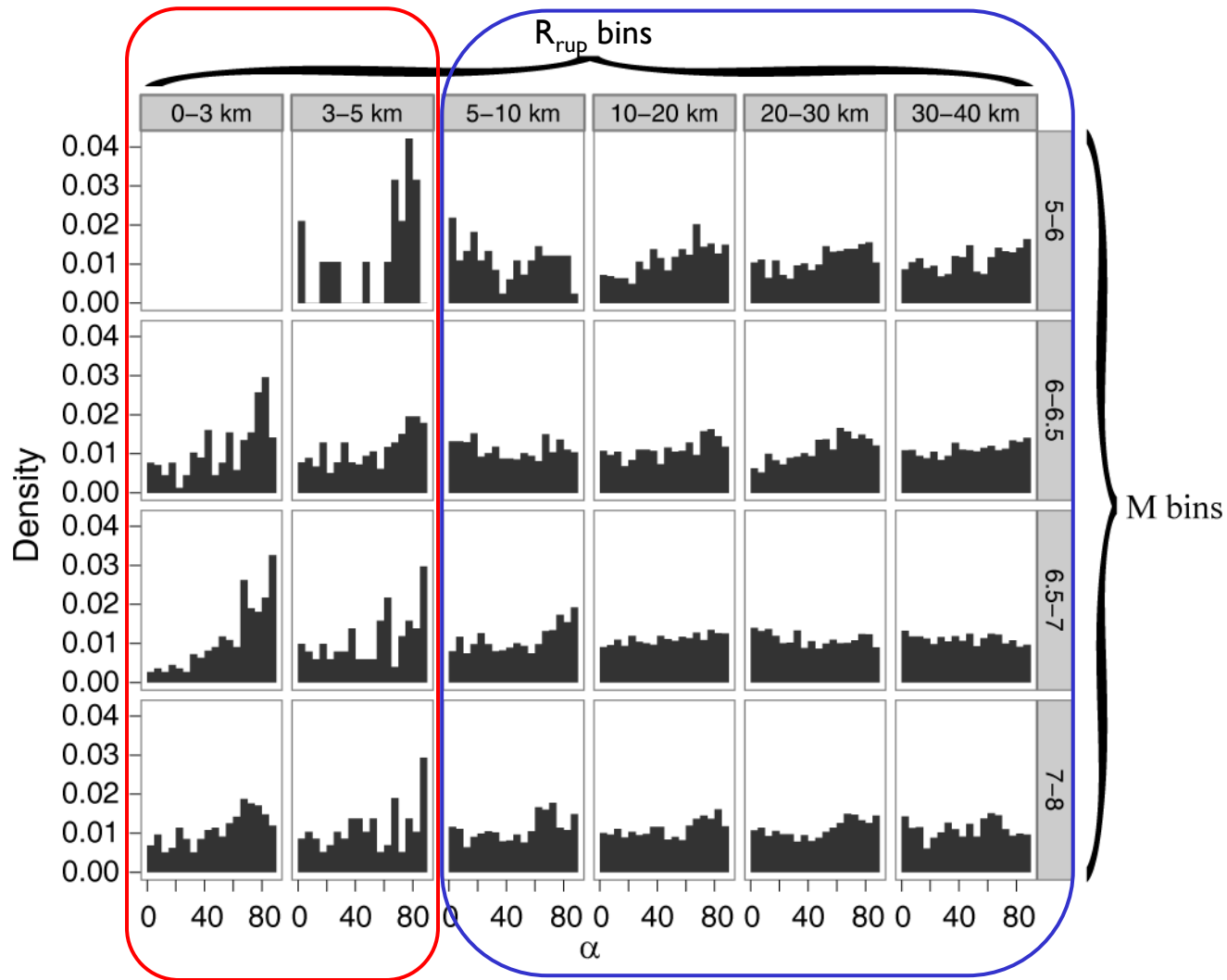


- Dependence of α on various parameters was studied
- A parametric model to predict the distribution of α is proposed

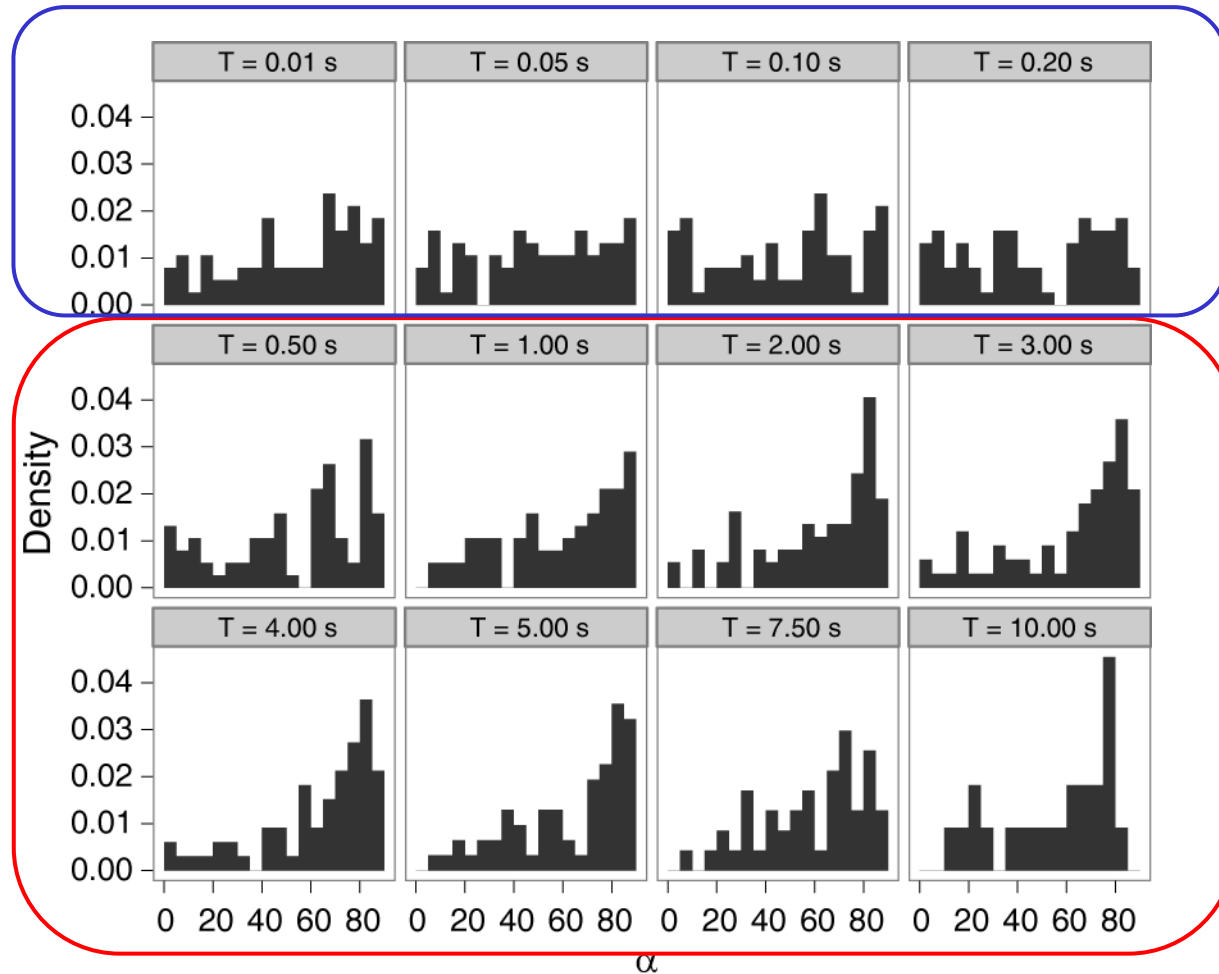


Oscillator responses to 1979 Imperial Valley-06, El Centro Differential Array recording

Dependence of α on M and R_{rup}



Distribution of α for varying T , with R_{rup} between 0 and 5 km



Apparent division at 0.5 or 1 second

Observations regarding distributions of α

Some dependence on distance and period (consistent with previous work)

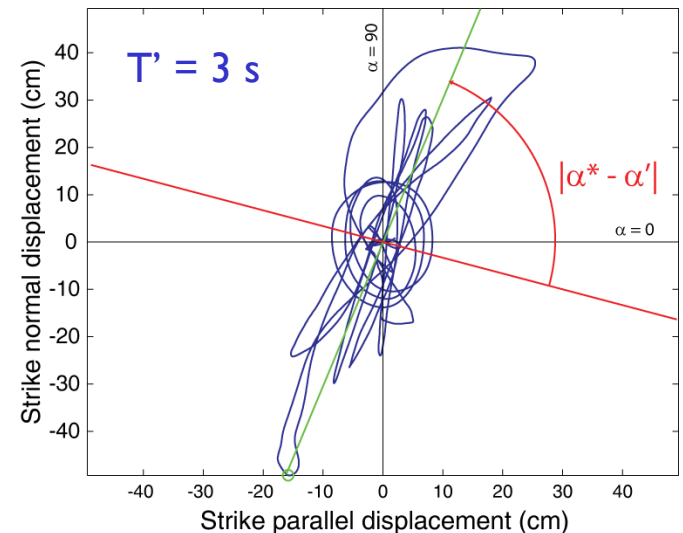
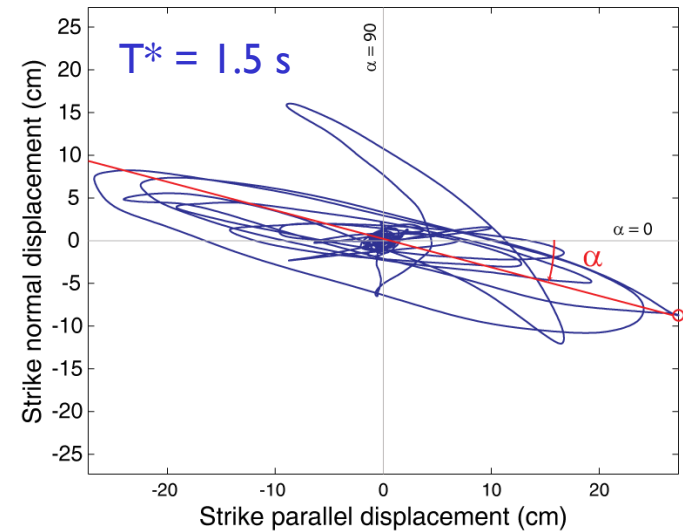
- The distribution tends towards fault normal for $R < 5$ km and $T \geq 0.5$ s
(This is not the same as saying α is *always* fault normal)
- The distribution is apparently uniform otherwise

No obvious dependence on magnitude, directivity parameters, etc.

Other models for directionality

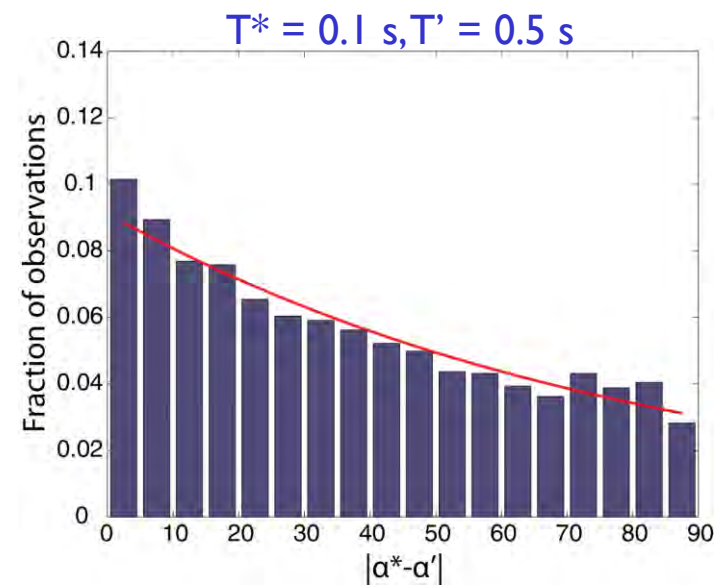
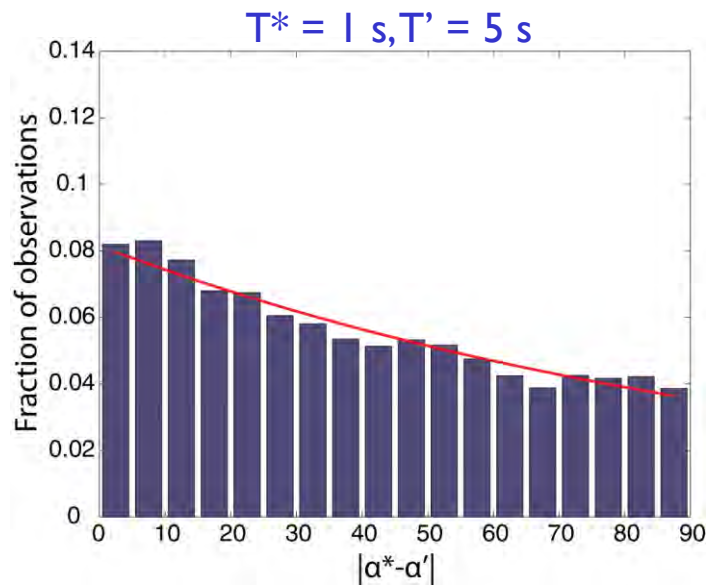
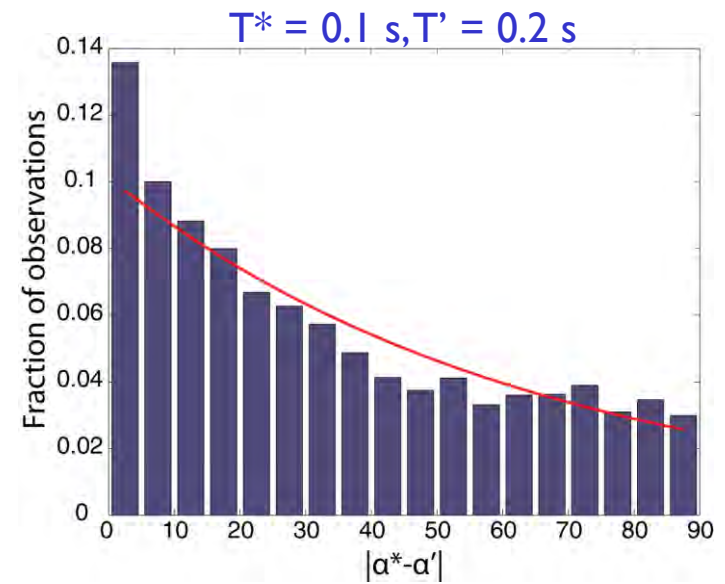
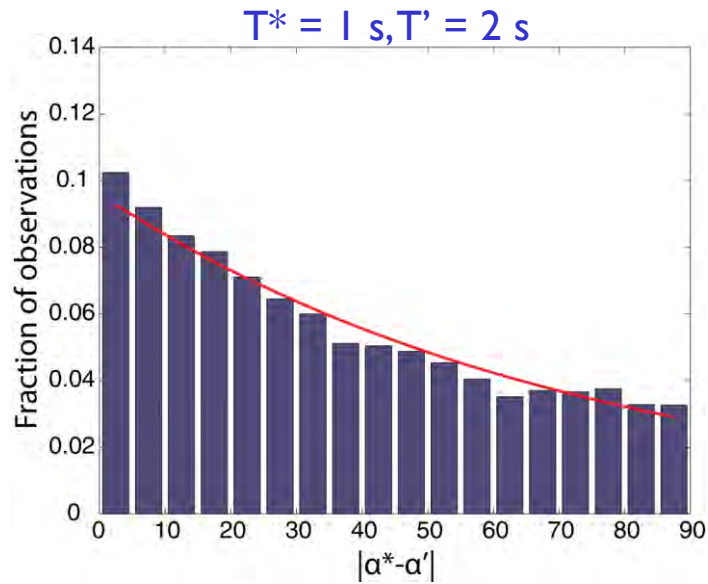
The direction of $Sa_{RotDI00}(T)$ will vary with period

1. By how much will the azimuths of $Sa_{RotDI00}(T^*)$ and $Sa_{RotDI00}(T')$ vary?
2. If we identify a target $Sa_{RotDI00}$ at one period (T^*), what will the spectral value be at some other period (T')?

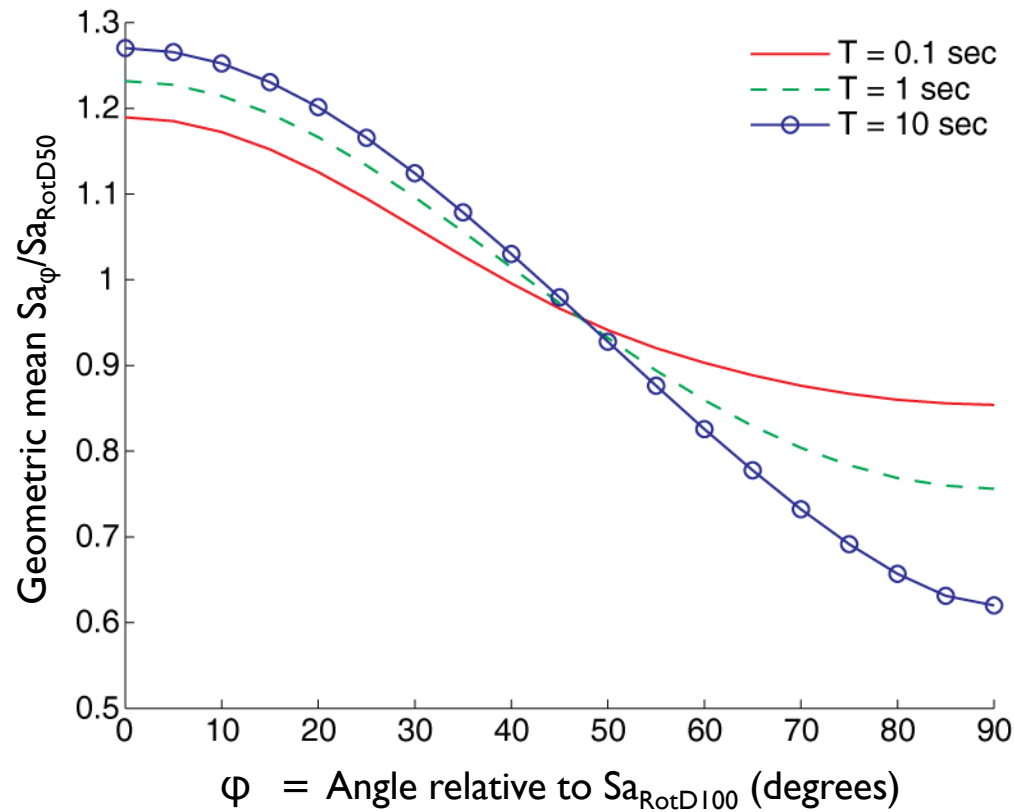


Oscillator responses to 1979 Imperial Valley-06,
El Centro Differential Array recording

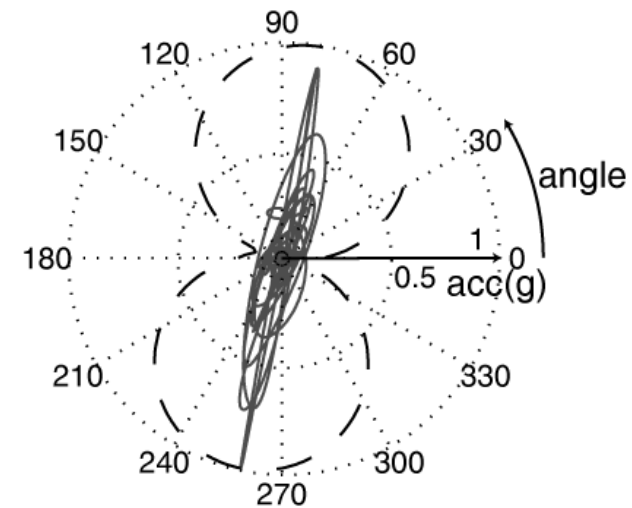
Distribution of $\alpha^* - \alpha'$ for various T^* and T'



Median ratio of $S_{a\phi}/S_{a_{RotD50}}$, as a function of distance from $S_{a_{RotDI00}}$ orientation

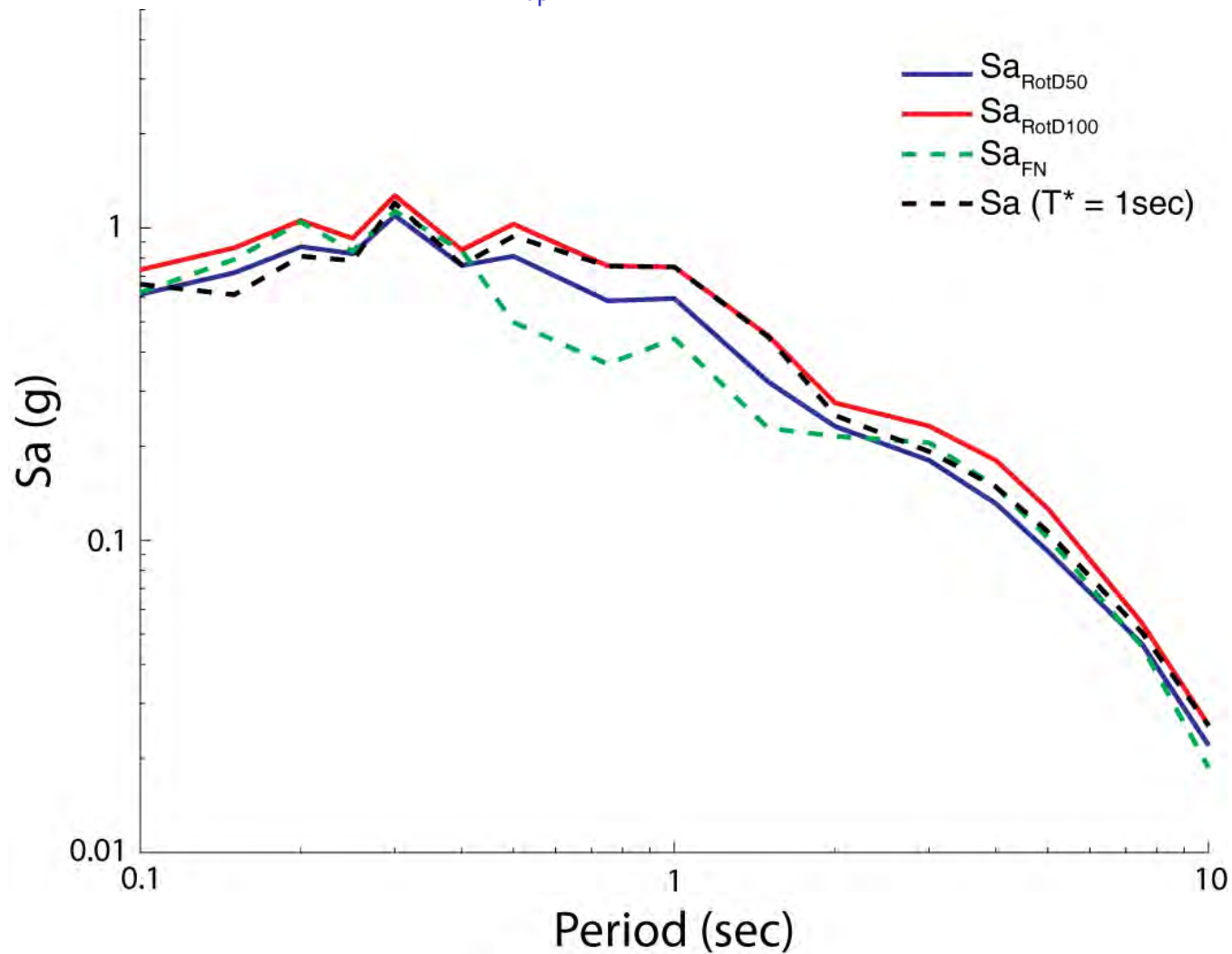


Example Is response case:



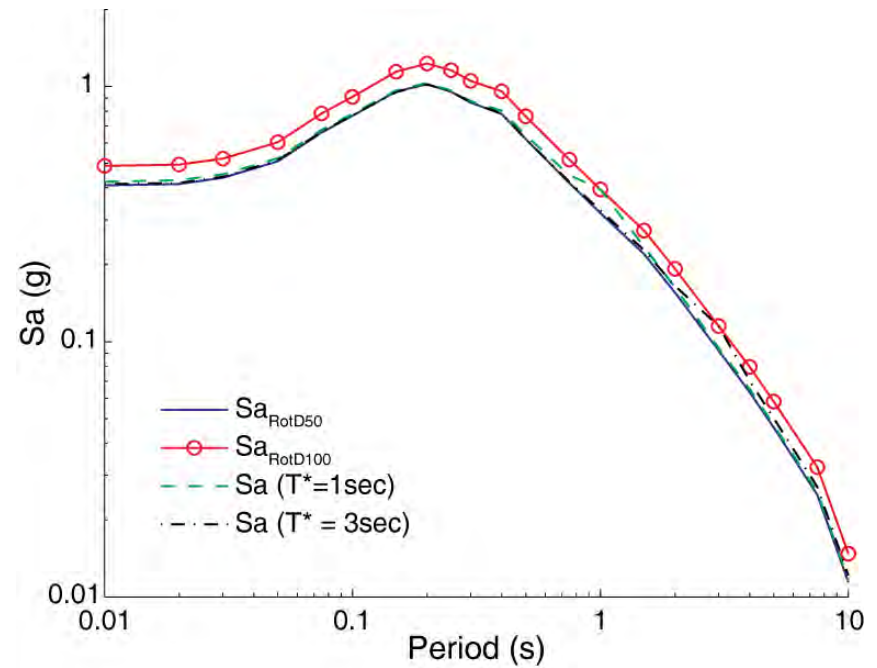
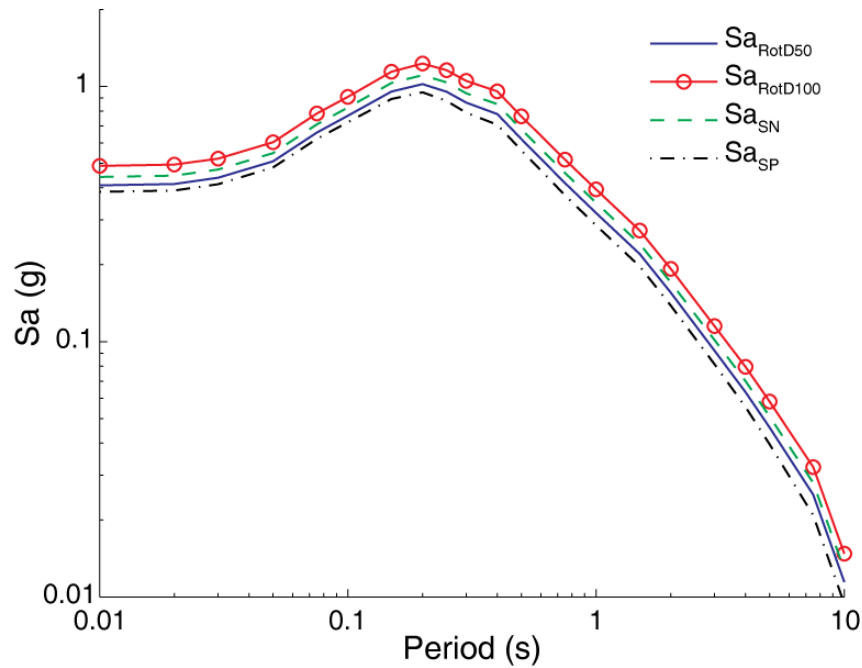
Individual ground motion example

TCU076 station, 1999 Chi-Chi earthquake
 $R_{rup} = 3$ km, $M = 7.6$



Example predictions using above results

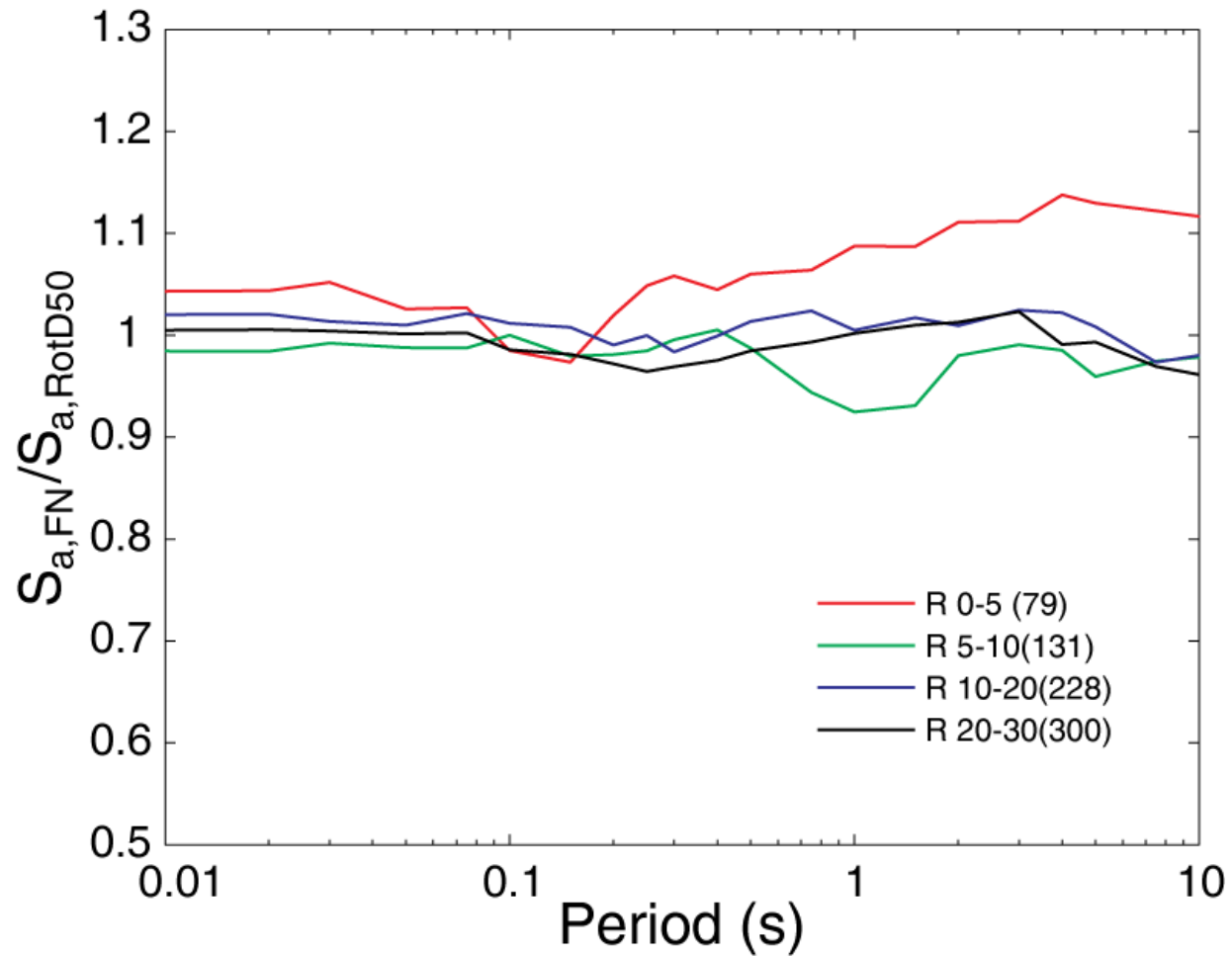
$$M = 7, R_{\text{clst}} = 2.5 \text{ km}, V_{\text{S30}} = 760 \text{ m/s}$$



Thanks to the project technical review team:

- Brian Chiou
- Nicolas Luco
- Mahmoud Hachem
- Tom Shantz
- Paul Somerville
- Paul Spudich
- Jon Stewart
- Badie Rowshandel

Fault normal spectra versus $S_{a, \text{RotD50}}$

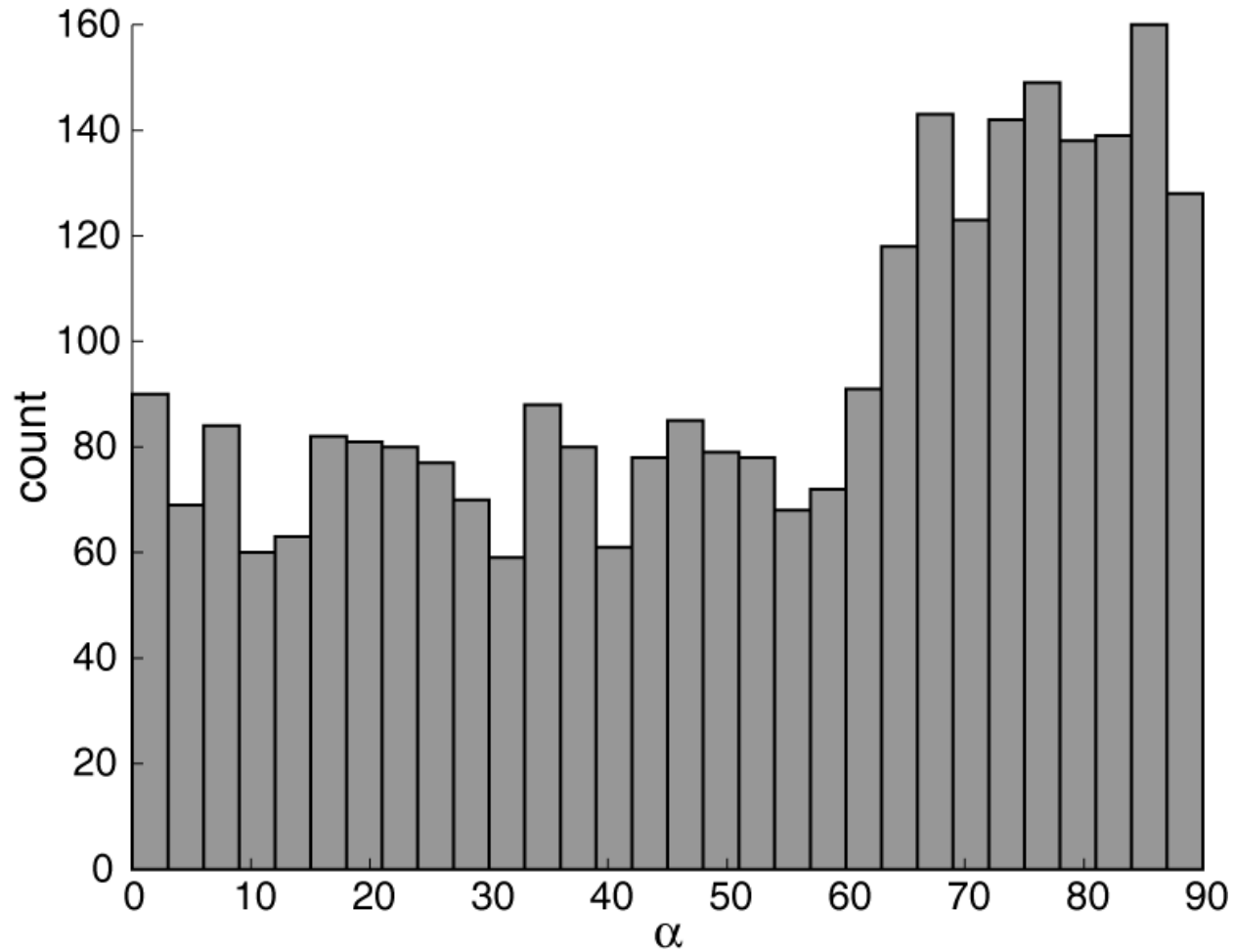


Is $Sa_{\text{RotDI00}} = Sa_{\text{FN}}$ for directivity ground motions?

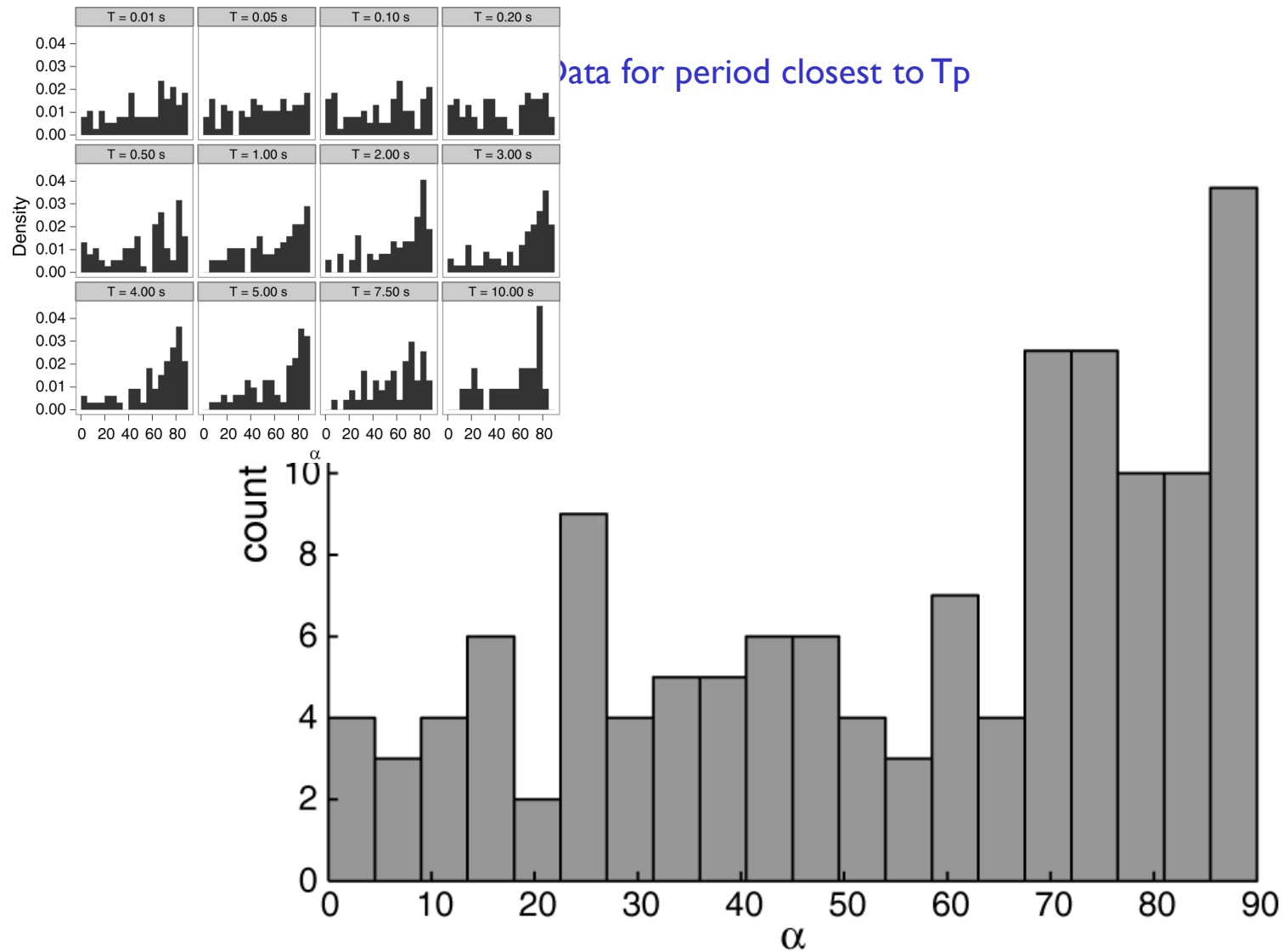
- Each ground motion in the NGA West 2 database classified as pulse or non-pulse
 - Improved pulse-classification algorithm (Shahi and Baker)
 - Documentation in progress
- Source-site geometry used to manually identify Pulse-like ground motions caused by directivity

$Sa_{\text{RotDI}100}$ orientation for directivity ground motions

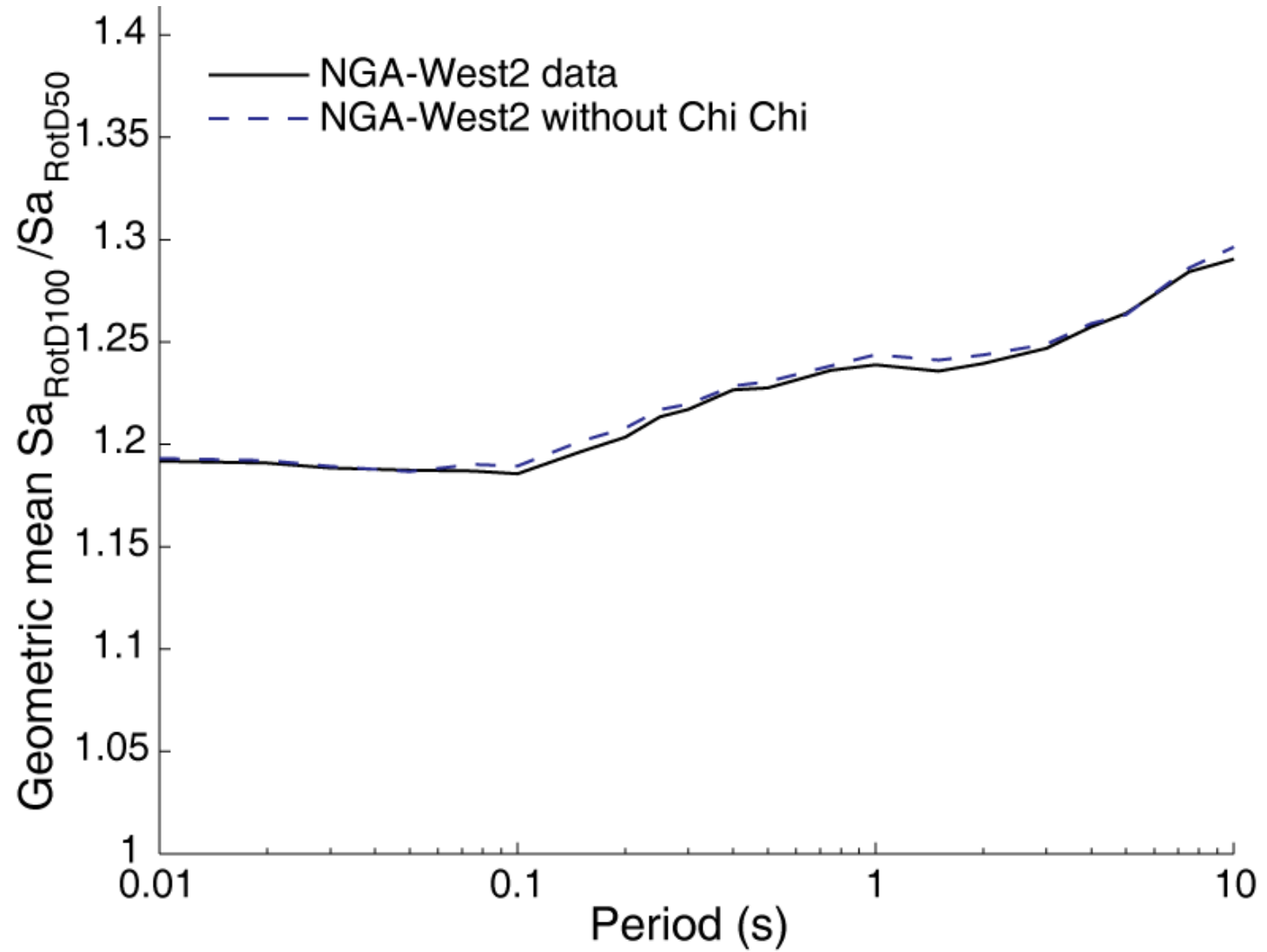
Data pooled from 21 periods



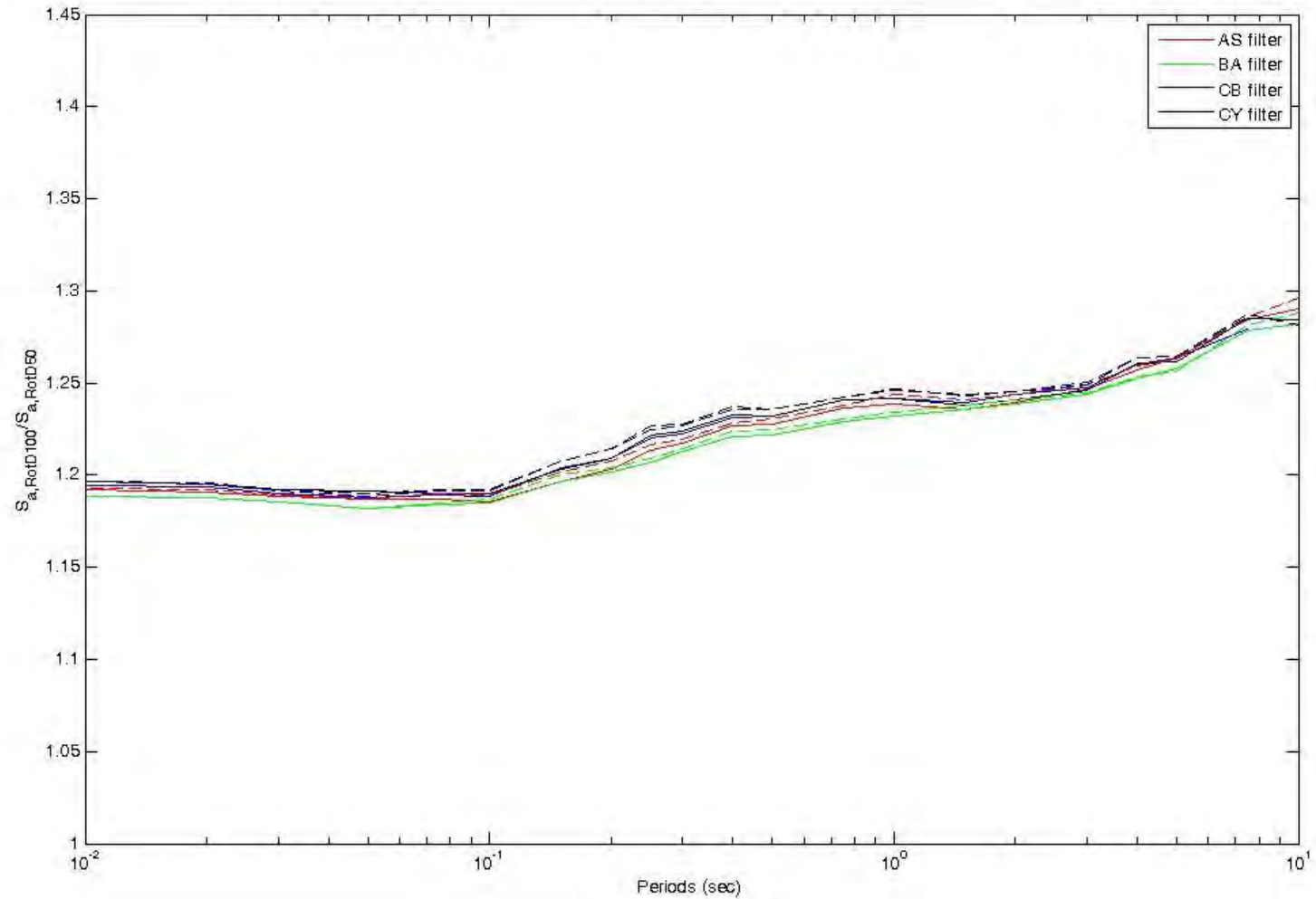
$Sa_{RotD100}$ orientation for directivity ground motions



Effect of Chi-Chi

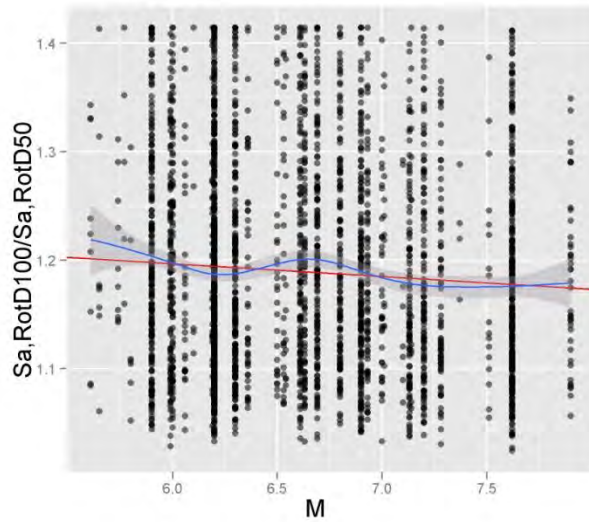


Effect of changing the dataset

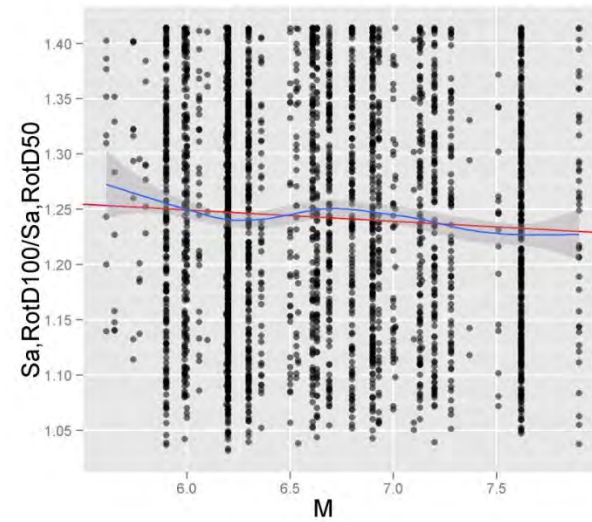


Any variation in this ratio with distance (M)?

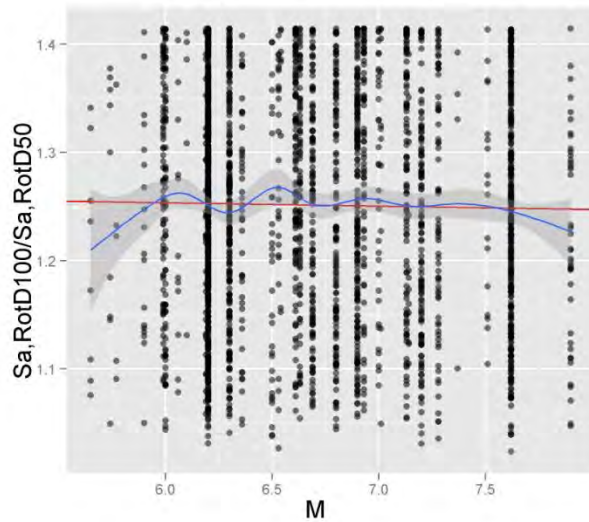
T = 0.1 s



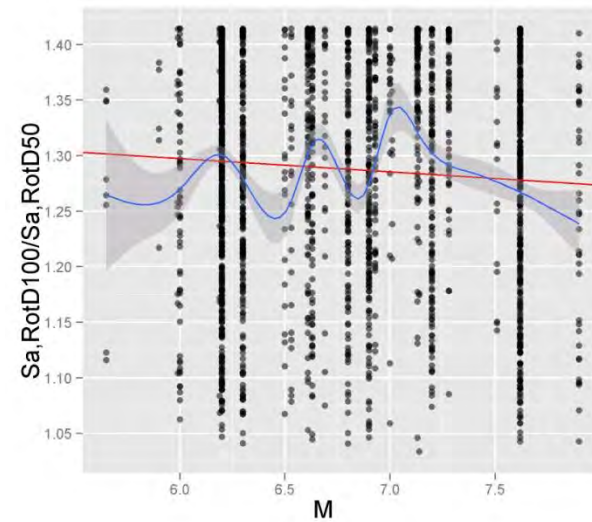
T = 1 s



T = 3 s

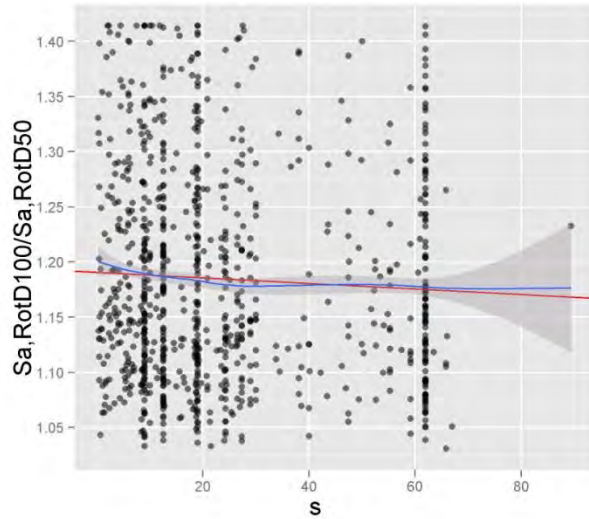


T = 7.5 s

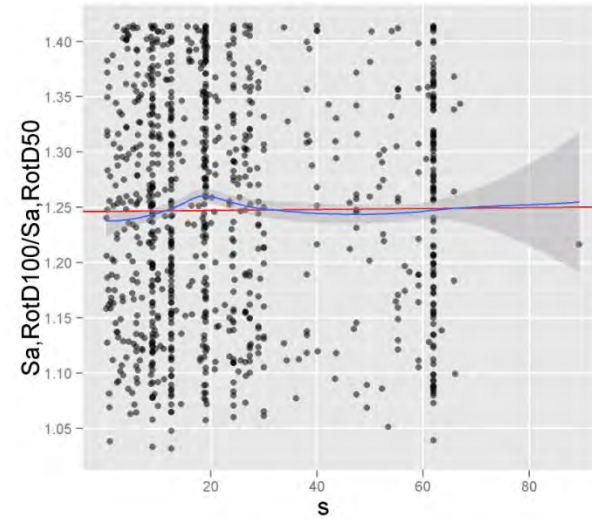


Any variation in this ratio with s ? (Strike slip only)

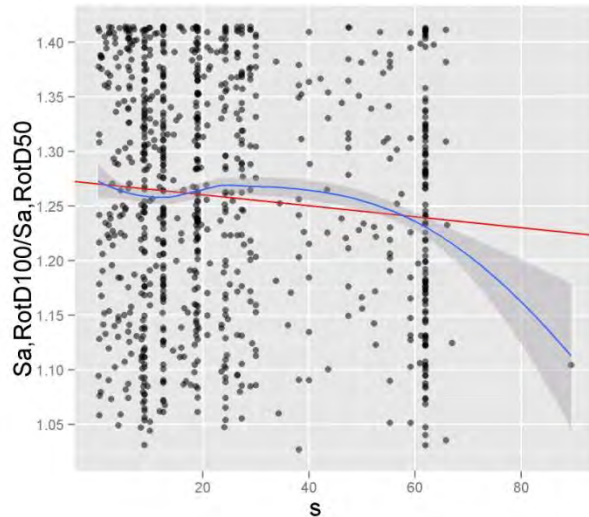
$T = 0.1$ s



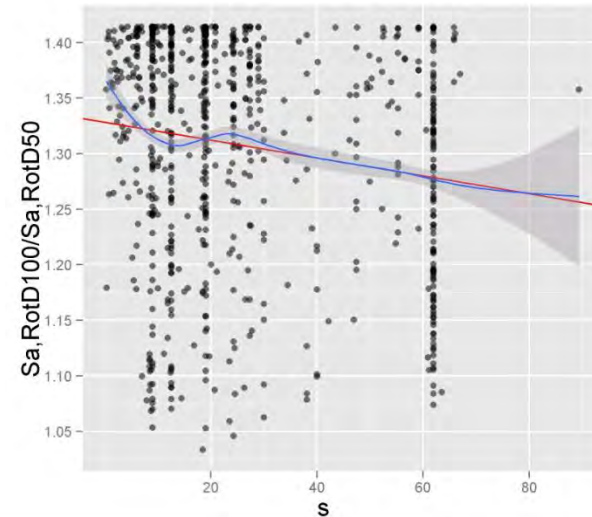
$T = 1$ s



$T = 3$ s

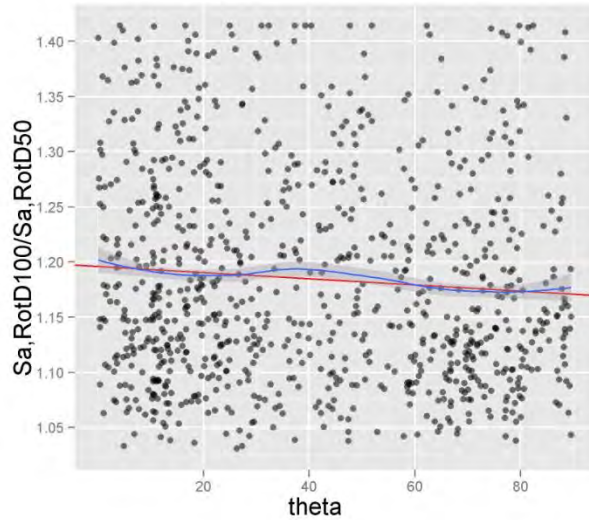


$T = 7.5$ s

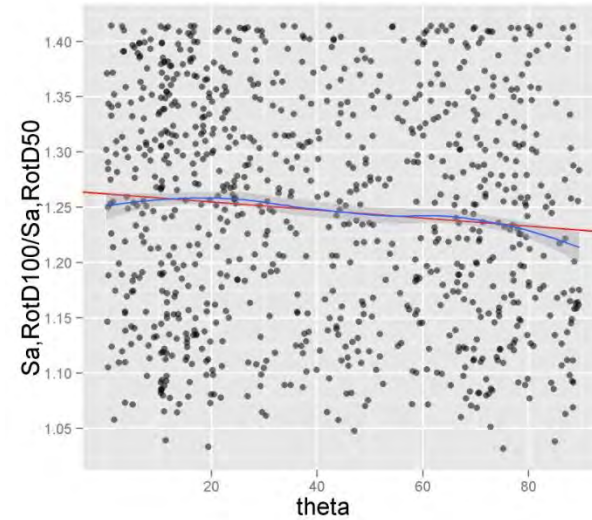


Any variation in this ratio with θ ? (Strike slip only)

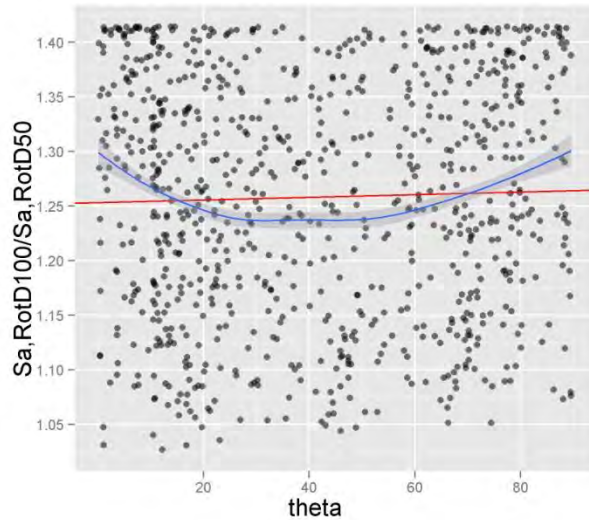
$T = 0.1$ s



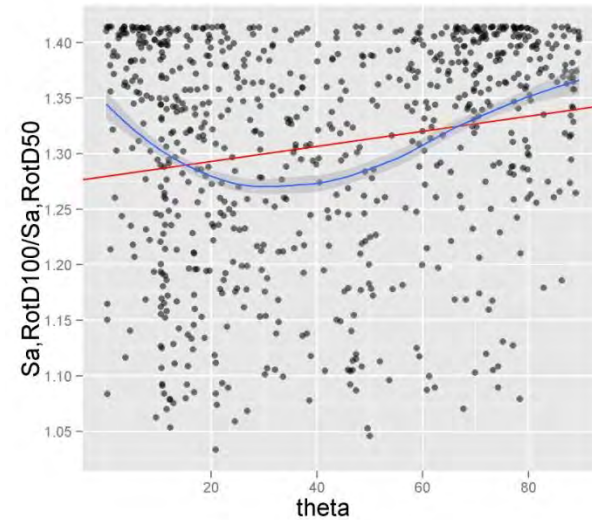
$T = 1$ s



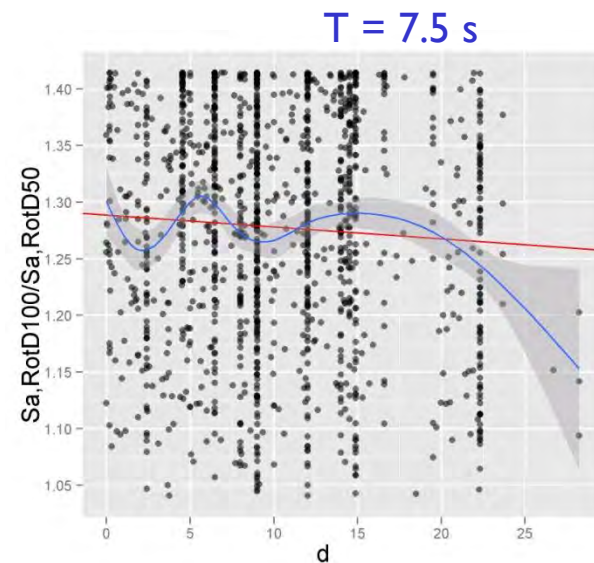
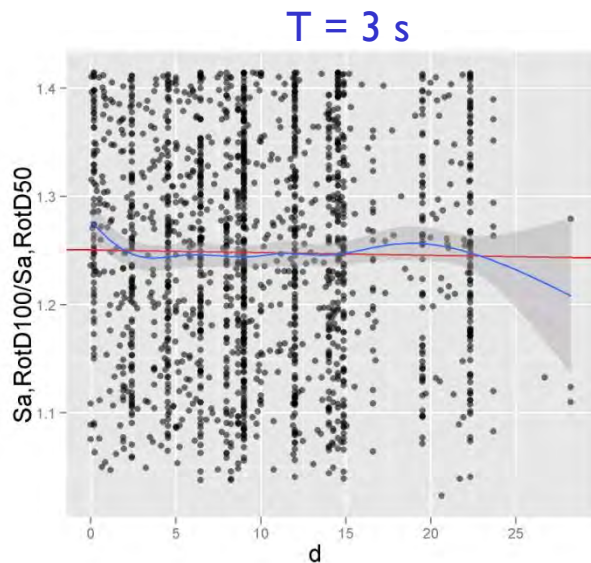
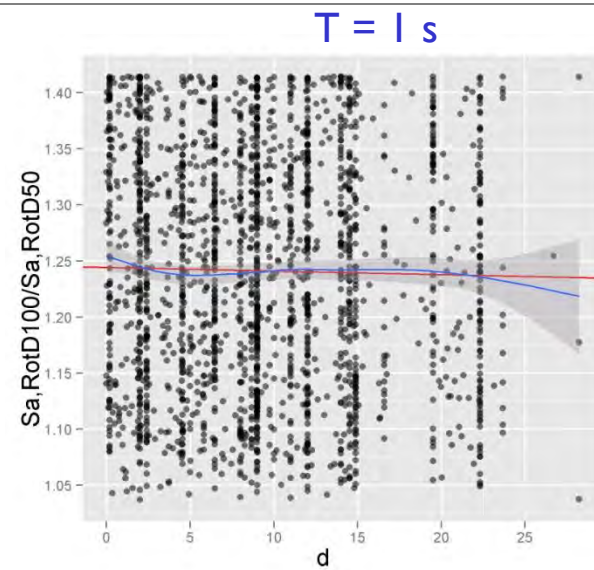
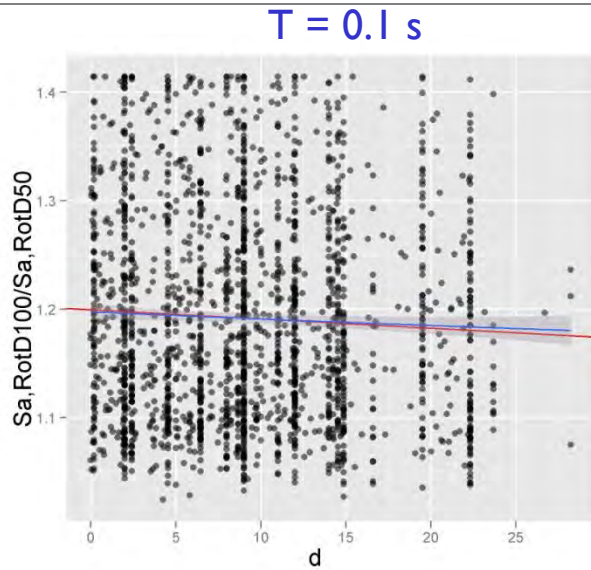
$T = 3$ s



$T = 7.5$ s



Any variation in this ratio with D? (Non-strike-slip only)

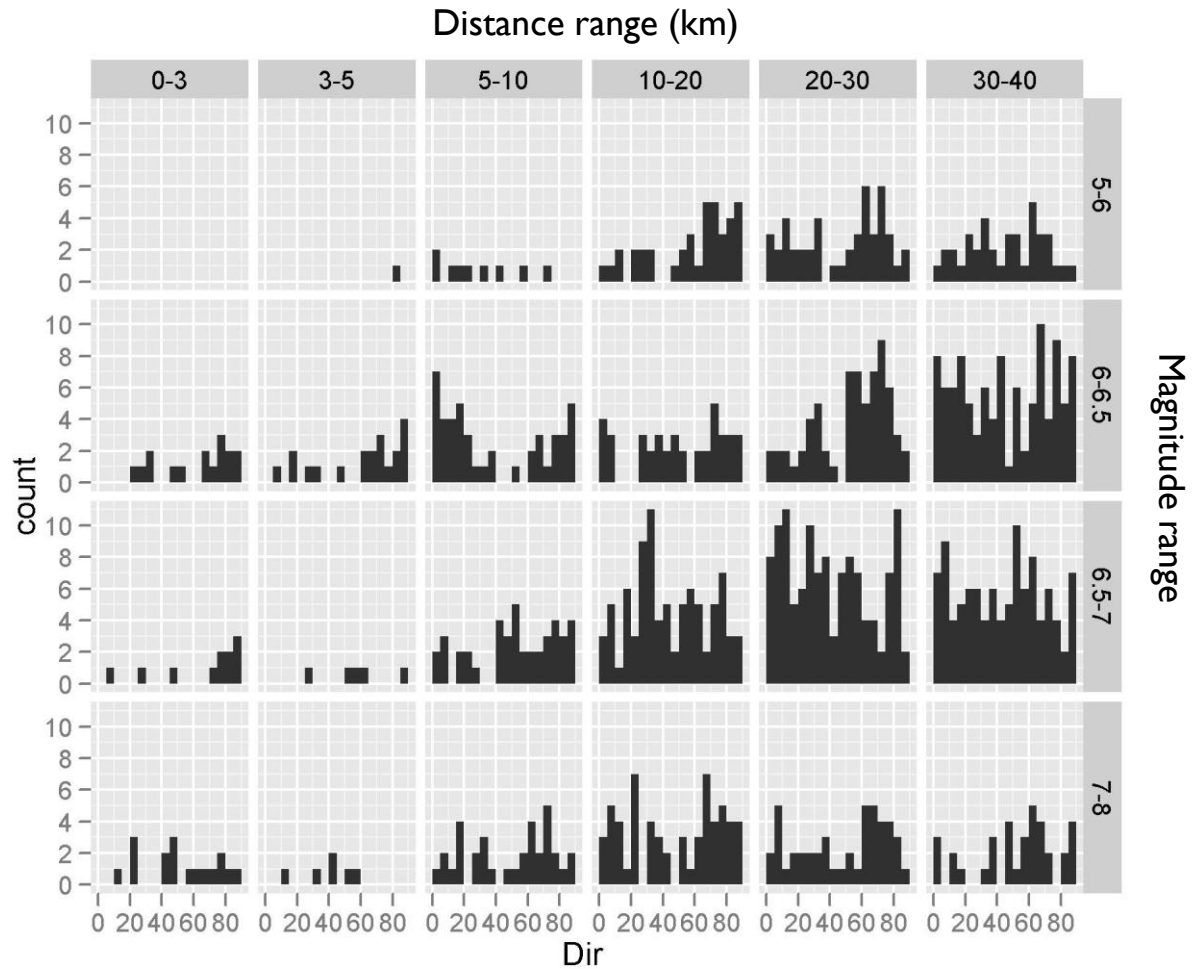


Regression analysis to evaluate significance of above parameters

- Both forward and backward step-wise regression was used to select statistically significant parameters
- Some dependence on M and R (depends upon period)
- Some dependence on directivity parameters at higher periods
- Our recommendation : a simple model dependent on R only

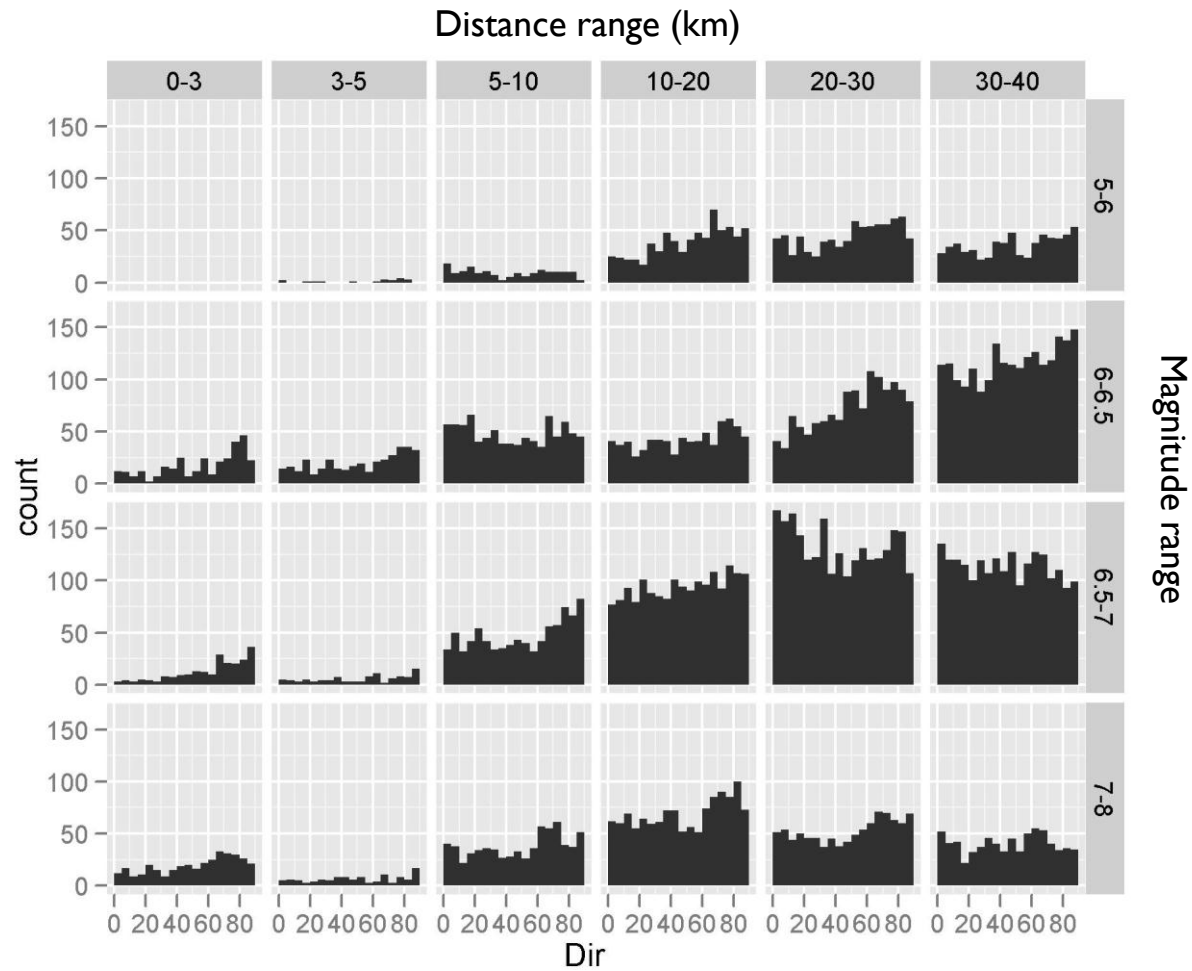
Distribution of α for different M-R bins

$$T = 1 s$$



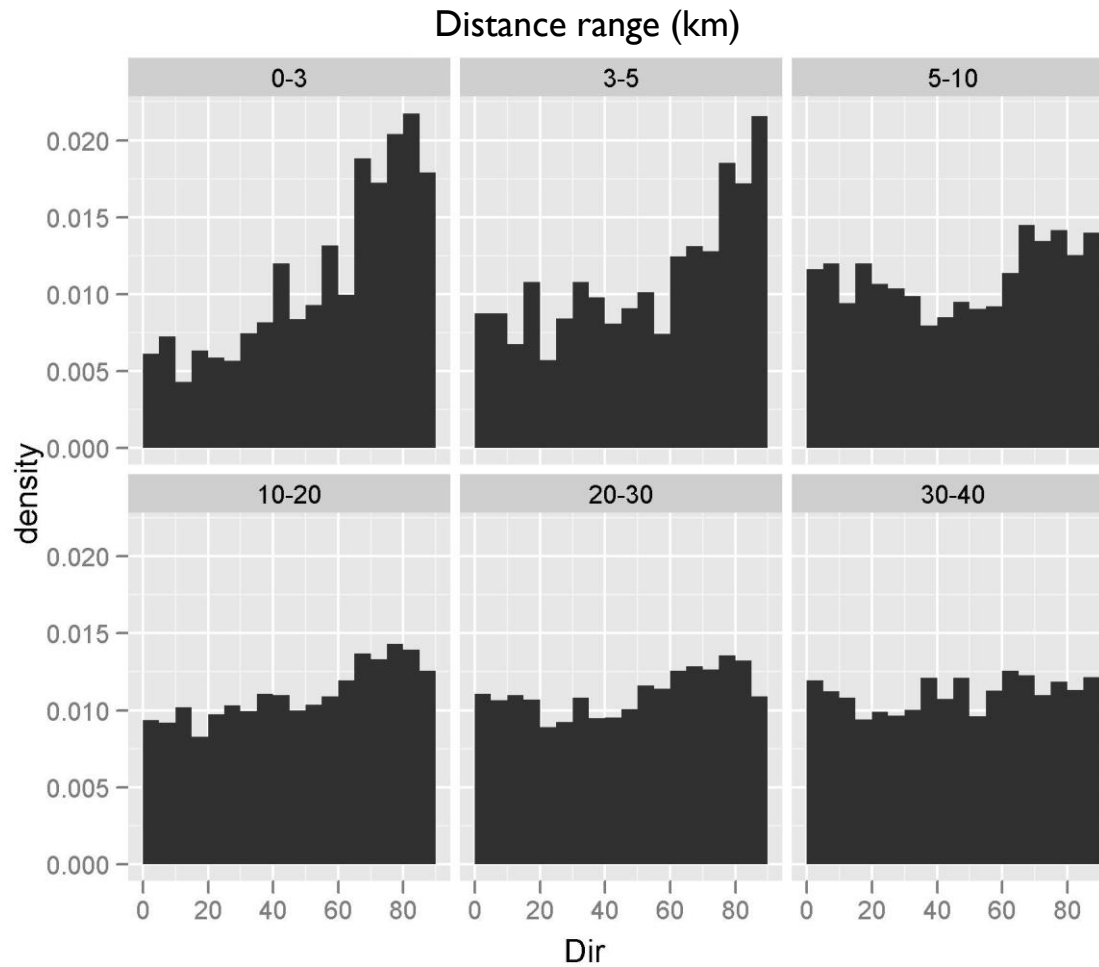
Distribution of α for different M-R bins

Data pooled from all periods



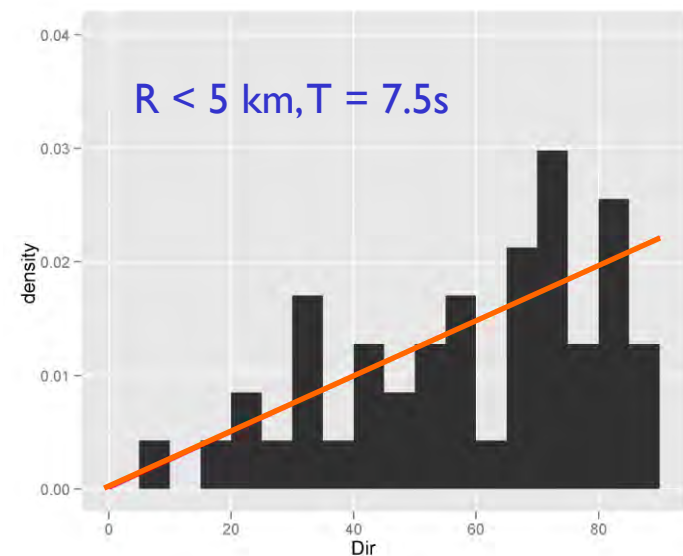
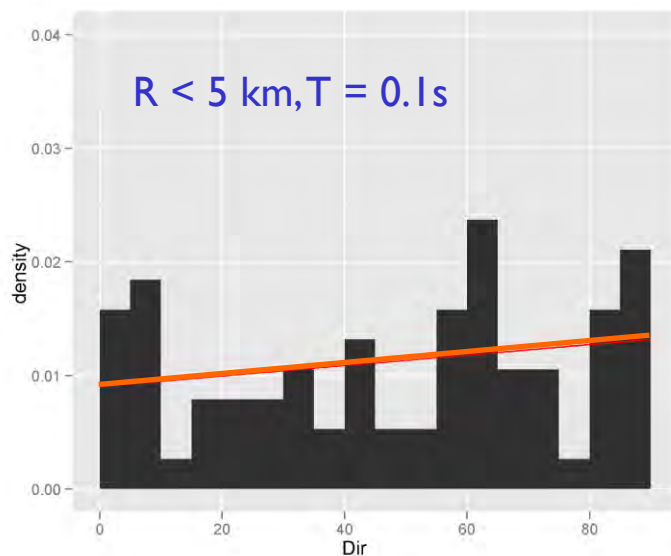
Distribution of α for different R bins

Data pooled from all periods



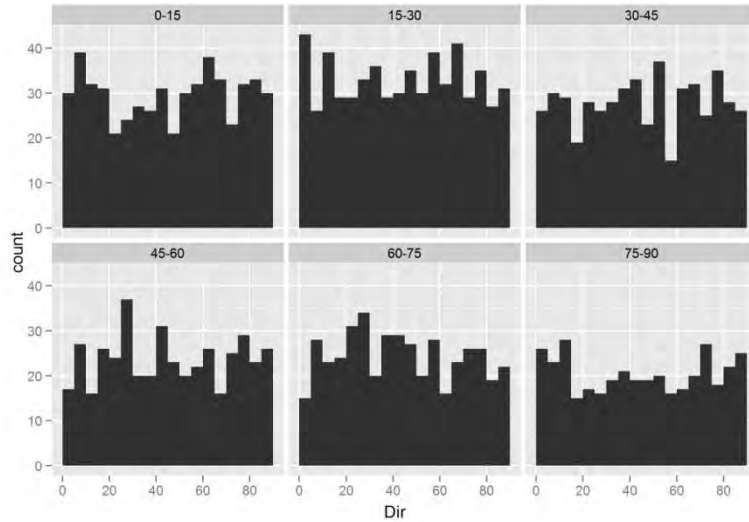
Models for α ? Two options:

1. Parametric model for distribution of α (i.e., equation for a probability distribution)
 - Linearly-varying distribution of α for $R < 5$ km (function of T)
 - Uniform distribution for $R > 5$ km
2. Just report histogram values at different T for $R < 5$ km
 - Simpler, but hard to do calculations with

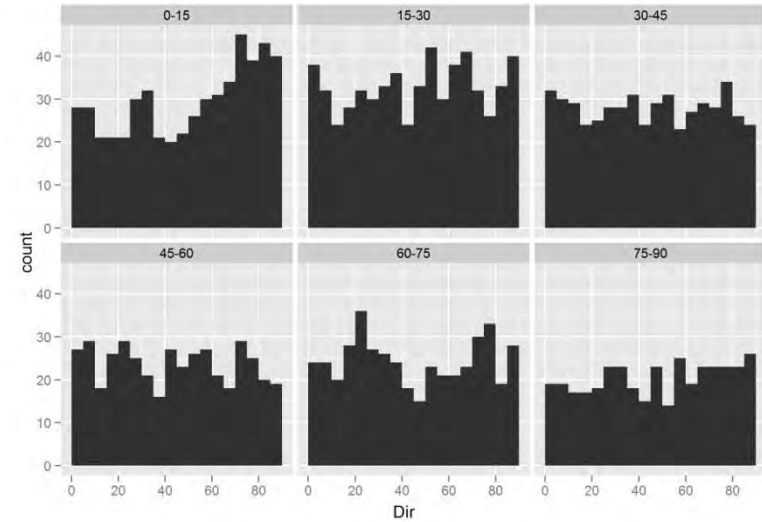


Direction of RotD I00 with θ

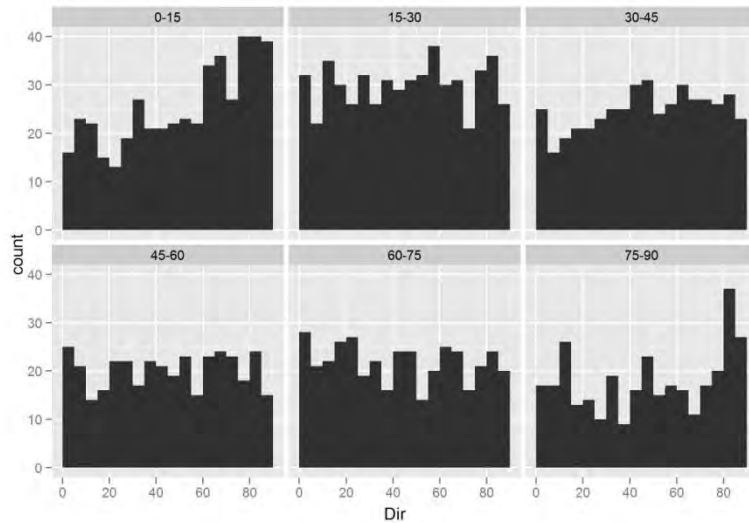
$T = 0.1 \text{ s}$



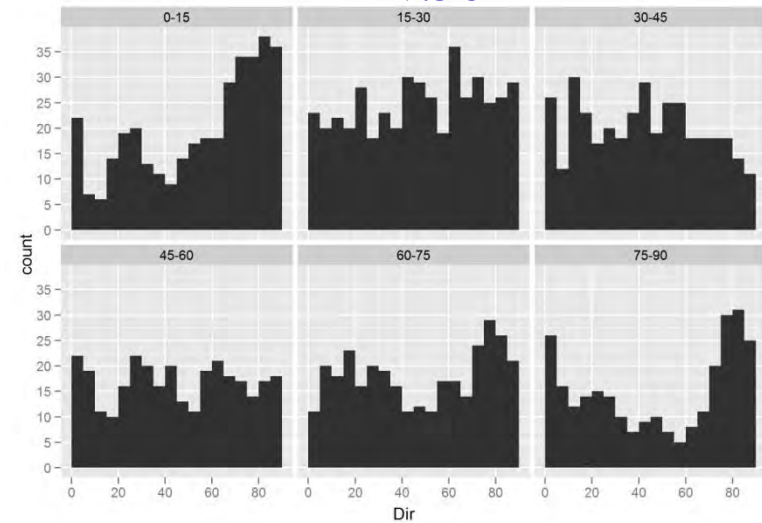
$T = 1 \text{ s}$



$T = 3 \text{ s}$

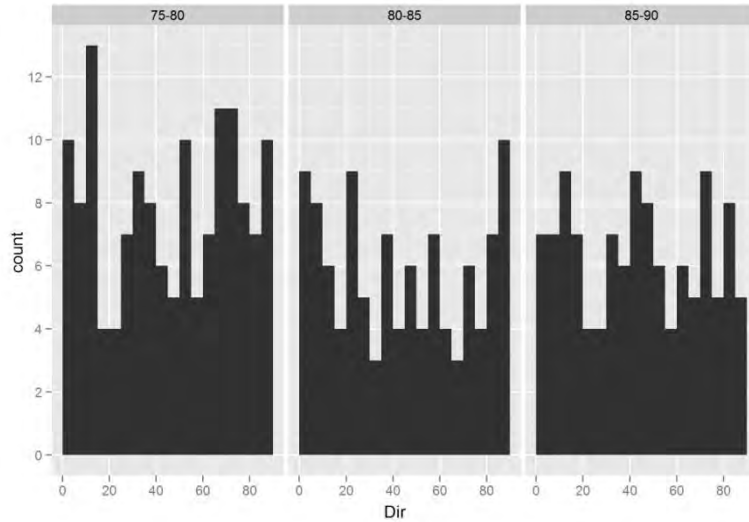


$T = 7.5 \text{ s}$

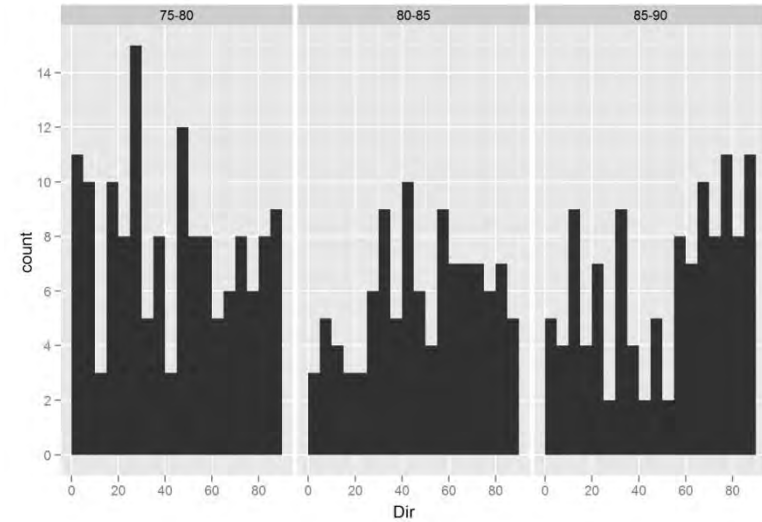


Zooming in towards high θ

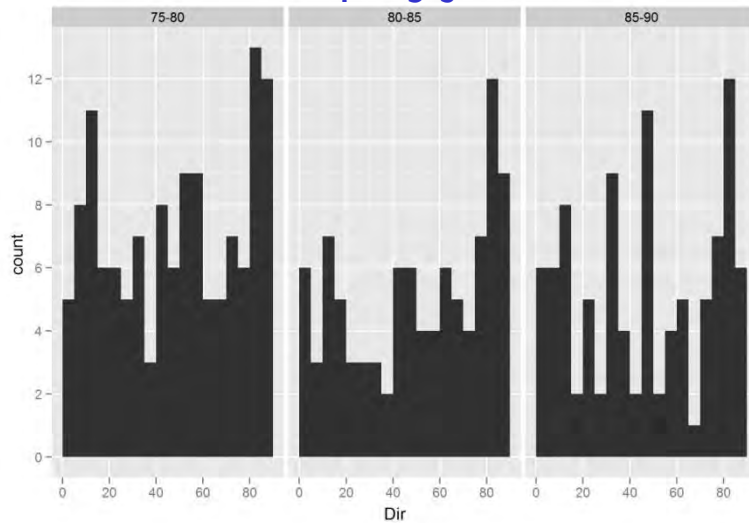
$T = 0.1$ s



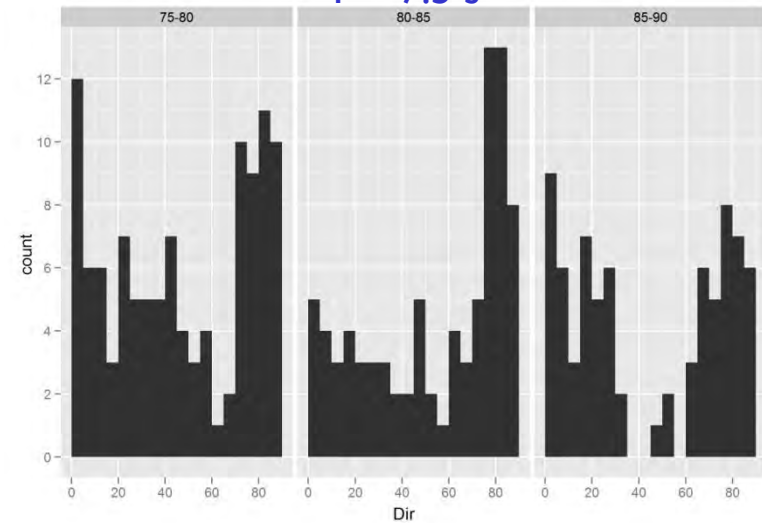
$T = 1$ s



$T = 3$ s

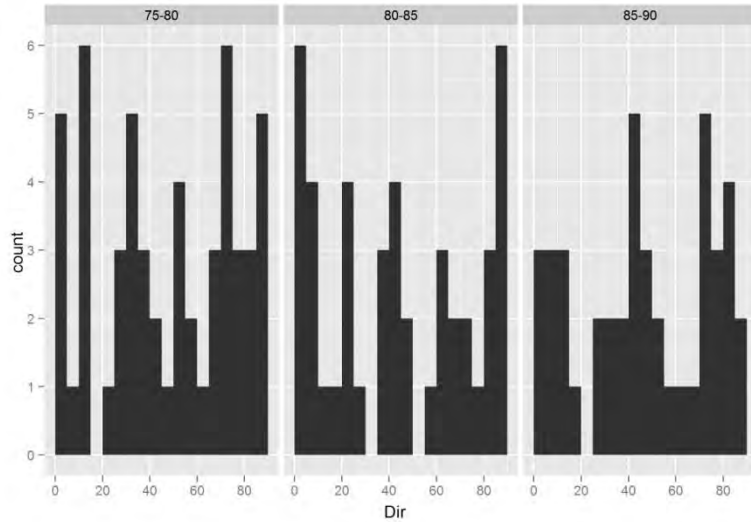


$T = 7.5$ s

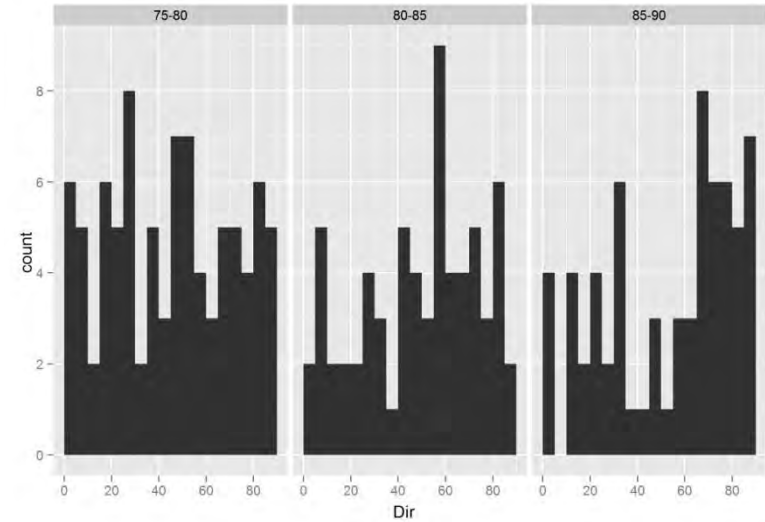


Only records with high amplification

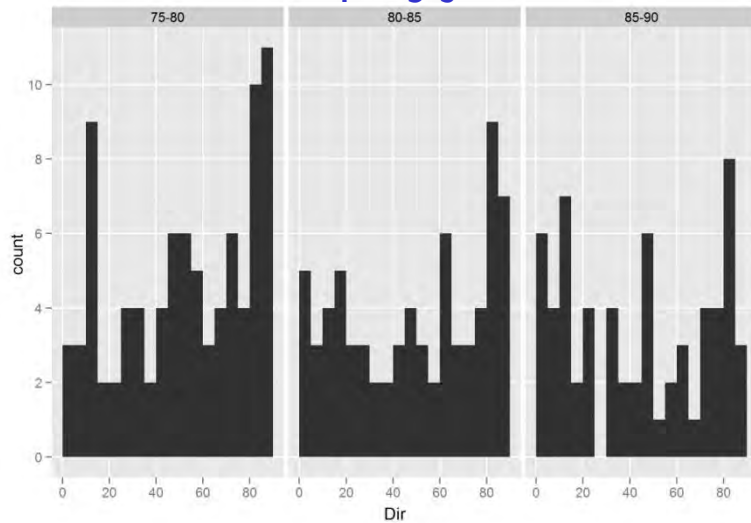
$T = 0.1 \text{ s}$



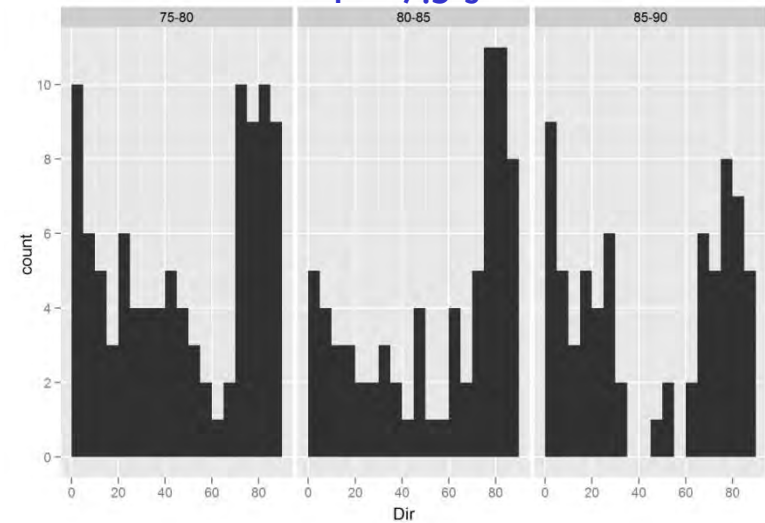
$T = 1 \text{ s}$



$T = 3 \text{ s}$



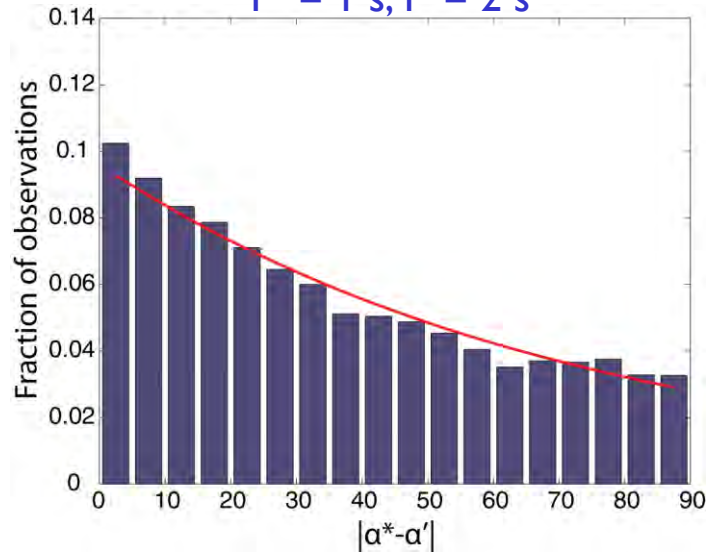
$T = 7.5 \text{ s}$



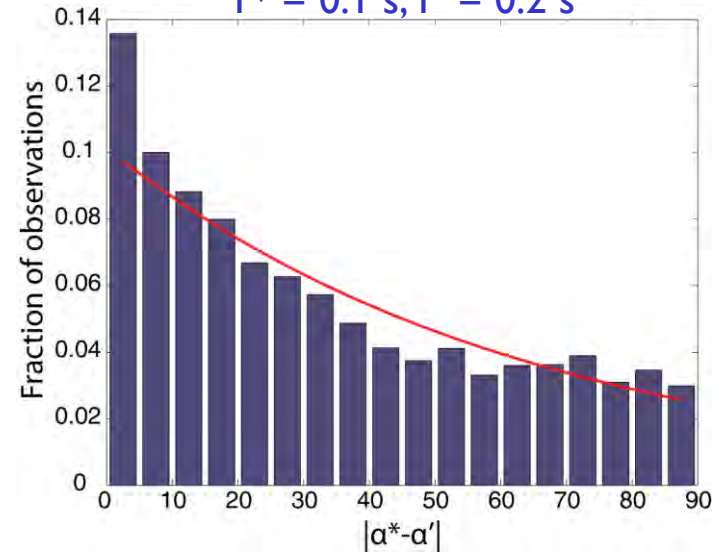
- When θ is high some $S_{a, \text{RotD100}}$ values are found in fault parallel orientation. This may be due to the radiation patterns
- Due to low sample size and presence of randomness we cant make confident conclusions.

Distribution of $\alpha^* - \alpha'$ for different T^*, T'

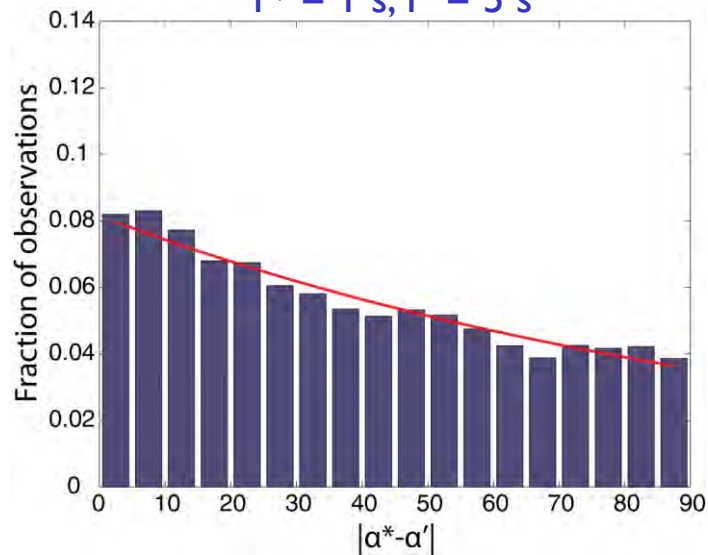
$T^* = 1 \text{ s}, T' = 2 \text{ s}$



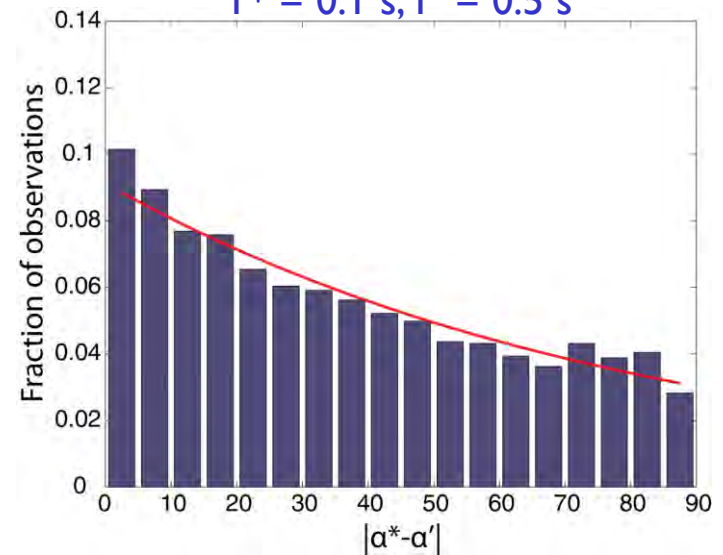
$T^* = 0.1 \text{ s}, T' = 0.2 \text{ s}$



$T^* = 1 \text{ s}, T' = 5 \text{ s}$



$T^* = 0.1 \text{ s}, T' = 0.5 \text{ s}$



Median ratio of $S_a(\Phi)/S_{a, \text{RotD50}}$, as a function of distance from $S_{a, \text{RotDI00}}$ orientation

